

ASC Audit Report

Tilapia Pangasius Initial Surveillance Recertification

Name client	TRAPIA MALAYSIA SDN BHD
Client number	814690
Name contact person	Mr. OLAV JAMTOY
Address client	PUSAT PERIKANAN BANDING KM 38,5 JALAN RAYA TIMUR – BARAT 33300 TASEK TEMENGGOR GERIK, PERAK DARUL RIDZUAN, MALAYSIA
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Certificate code	
Date of issue of certificate	27-11-12
Date and length of audit	02 days
Name of auditor(s)	Ms. LE TRAN TRUONG THUY – Environmental part Mr. LE ANH NGOC – Social part
Inspected unit (s)	01 unit
Number and % of members evaluated (in case of group certification)	N/A
Issued by	Control Union Peru SAC
Address	Av. Dos de Mayo 1205, San Isidro. Lima, Perú
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Certifier	Pilar Kuriyama
Date	November 28th 2012
Signature	



1. METHODOLOGY

Control Union Peru (CUP), a member of the Control Union World Group is an international inspection and certification body and is accredited by ASI on behalf of the Aquaculture Stewardship Council (MSC) to carry out inspection and certification according to the ASC farm certification standards.

CUP performs inspection and certification in the fields of FSC, MSC CoC, organic production, input, Sustainable Textile production, GLOBALGAP, HACCP, BRC, GMP and GTP.

Audits and certification is carried out in conformity with the procedures as laid down in the Procedure Manual and the program manual for the auditor and certifier. During the audit, the qualified CU auditors use standardized audit forms to record their findings.

Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certify the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in Chapter 2.3. Audit work by the auditor and certification by the certifier are clearly separated activities.

2. REPORT

This certification report is made in accordance with the ASC Certification and Accreditation requirements, Version 1.0, Annex C.

2.1 Background of the assessed company:

The farm was established from 2007 and located in Lake Temenggor. Land facilities are located within the compound of Department of Fisheries in Banding. The total farm surface is 30 ha (in the amount of 100ha). The main species is Tilapia which is grown in cages. From 2010, the farm is certified against GLOBALGAP standard and this certification has been kept until now (2012)

The production yield from 01/09/2011 to 31/08/2012 is 3,630 tons.

2.2 Summary

Scope:

Standard: ASC Tilapia standard V 1.0 April 2012

Specie: Tilapia, single site certification

Unit of certification: TRAPIA farm

Receiving water body: TEMENGGOR LAKE

C1 (RWRP): N 5°28'38.90" ; E 101°20'11.00"

A2 (RWFO): N 5°28'24.90"; E 101°19'19.60"

A14 (RWFA) : N 5°27'7.00"; E 101°19'23.10"

Summary of the report

Finishing the audit, the farm mostly complies with the standard's requirement with criterias:

PRINCIPLE 1: OBEY THE LAW AND COMPLY WITH ALL NATIONAL AND LOCAL REGULATIONS

The cage is located in Lake Temenggor which is rent from Department of Fisheries. The company obeys the local regulation such as Environmental quality act, Fisheries act, Water act and surface lease and tax, labor laws



PRINCIPLE 2: MANAGE THE FARM SITE TO CONSERVE NATURAL HABITAT AND LOCAL BIODIVERSITY

During SD measurement from 01/09/2012 to 31/08/2012, SD is in the range of 150 cm and 220 cm. At the audit time, the auditor measures SD at 170 cm which is less than 10m and in the range of farm's measurement. The farm has followed all this principle. Exception, According to TAD, DO date collected one hour before sunrise and two hours before sunset. It means the water parameter measurement is around 6-7am and 4-5 pm. The farm's data was at 8am (already sunrise).

PRINCIPLE 3: CONSERVE WATER RESOURCES

There are 03 kinds of feed coming from CARGILL, EWOS and STAR FEED (CP) fed for Tilapia. By calculation, TP and TN input are 22.66kg (<27kg) and, 92.1kg respectively; TP and TN output are 15.16kg (<20kg) and 70.0 kg, respectively. Nevertheless, the farm has to provide the letter of the fee manufacturer states phosphorus content of CP. The reason for this is that CP doesn't want to provide for Trapia. From March 2012 until now, Trapia doesn't use CP feed.

PRINCIPLE 4: CONSERVE SPECIES DIVERSITY AND WILD POPULATIONS

By verification during audit, the following of escape, transporting live, transgenic fish and predator control compliant with this principle such as escape record, no use GMO fish and no use of lethal predator control

PRINCIPLE 5: USE RESOURCES RESPONSIBLY

The fishmeal comes from by-product and the fish oil comes from the fishsource is >6.0

PRINCIPLE 6: MANAGE FISH HEALTH AND WELFARE IN AN ENVIRONMENTALLY RESPONSIBLE MANNER

All chemical (antibiotic) used in the farm is permitted in Malaysia and followed by Health professional – Mr. Alex. The mortality has been recorded daily and the health management is based on Veterinarian health plan

PRINCIPLE 7: BE SOCIALLY RESPONSIBLE

There is no child labor, forced labor as well as discrimination. Workers can have freedom of association and right to collective bargaining, freely leave the farm when finishing their work.

For detailed information about compliance, please see the audit checklist report attached to this report.

Summary of findings:

Completing the audit, the farm has 03 Minor Non-conformities that need to be settled for the next surveillance audit in September 2013. (see more details about findings in the audit result)

2.3 Decision

A certificate has not been issued but TRAPIA MALAYSIA SDN BHD is recommended for the ASC farm certification. The certification process will be completed after public consultation of this draft report.

2.4 Audit background

Author(s): Le Tran Truong Thuy

Audit dates: 18-19/09/2012

Report finished at: 06/10/2012

Report reviewed at: 10-10-12

Date of the certification decision: 28-11-12



Persons involved in the audit:

- Mr. Tola Alvarez Alejandro – TRAPIA’s management team
- Mr. Jorge Ulloa Morales
- Employees of the farm
- Community interviewed: Mr.Solaudin (neighbour around the TRAPIA farm)

Stakeholder participation: No comments from stakeholders received before the audit.

Previous Audits (if applicable): N/A

Other activities:

ASC audit announcement date: 13-08-12

Stakeholders consultation opened: 13-08-12

Pre-audit checklist information desk review: 19/08/2012

2.5 Scope

ASC Tilapia standard version 1.0 April 2012

Species: Tilapia

Scope of audit:

<i>Production Unit name</i>	<i>Production Unit number (assigned by CUSI)</i>	<i>Address and geographical positions</i>	<i>Receiving water body</i>
TRAPIA grow-out farm	PRD 022368	* PUSAT PERIKANAN BANDING KM 38,5 JALAN RAYA TIMUR – BARAT 33300 TASEK TEMENGGOR GERIK, PERAK DARUL RIDZUAN, MALAYSIA * Geographical positions: - C1 (RWRP): N 5°28'38.90" ; E 101°20'11.00" - A2 (RWFO): N 5°28'24.90"; E 101°19'19.60" - A14 (RWFA): N 5°27'7.00"; E 101°19'23.10"	Temenggore lake

Receiving water body:

The characteristics of Lake Temenggor is the natural water body where inlet and outlet of Tilapia and also as an attachment send the satellite images or map of the farm and receiving water body

2.6 Description of the start of the Chain of Custody.

Products included in the scope of certification detailed in this report may enter further certified chains of custody and are eligible to apply to carry the ASC label

Considerations for the decision:

- Tracking, tracing and segregation systems within the aquaculture operation: The farm has the form of following harvesting and transporting the fish to the processing unit. Based on this form, the farm can know which the processing unit



fish is transported to. Currently, there is no fish selling to other processing units with the exception of TRAPIA MALAYSIA SDN BHD (processing plant) – the same legality. Besides, TRAPIA MALAYSIA SDN BHD has only one farm which is on the ASC certification route.

- Use of transshipment: The farm has been using transport living trucks in order to transport to the processing unit (by pumping the fish from cages into the trucks)
- Eligible operators and point(s) of landing: Using transport living trucks for fish and there is only one point of harvesting at this farm to the TRAPIA MALAYSIA SDN BHD processing unit point of landing.
- The opportunity of substitution of certified with non-certified product within the unit of certification: There is no chance of substitution and only certified products are produced by the legal entity (TRAPIA farm)
- Point from which Chain of Custody certification is required: Chain of custody certification is required after harvest when fish is received in processing plant also owned by TRAPIA MALAYSIA SDN BHD.

2.7 Evaluation results

See audit checklist attached to this report.

2.8 Non-conformity report(s)


Please see the audit result attached to this report

Comments:

Name and signature of authorized representative:

Position:

Date:

ASC audit checklist ASCASS-TIL.F01(01)		 Control Union Certifications Member of Control Union World Group				
Reportnumber:		814690.ASC.2010.01.LTTT				
1.1 Client contact data						
CUC number:	814690					
Company name:	TRAPIA MALAYSIA SDN BHD					
Contact person:	OLAV JAMTOY					
Address:	PUSAT PERIKANAN BANDING KM 38,5 JALAN RAYA TIMUR – BARAT 33300 TASEK TEMENGGOR GERIK, PERAK DARUL RIDZUAN					
Postal code:						
City:						
Country:	MALAYSIA					
Telephone number:	+605 791 0900 FAX: +605 791 6712					
e-mail:	alex@genomar.com jorge.ulloa@trapia.com.my					
1.2 Audit information						
Date of last external audit :	The first audit					
Audit date :	18-19/09/2012					
Full name of the lead auditor:	Ms. LE TRAN TRUONG THUY					
Audit team:	Auditor: Ms. LE TRAN TRUONG THUY (Environmental part) Auditor: Mr. LE ANH NGOC (Social part)					
Type audit :	Announced / Unannounced Initial / Surveillance / Recertification					
1.3 Scope of ASC						
Scope: AQUACULTURE	Standard Version: 1.0		Specie: TILAPIA – <i>Oreochromis niloticus</i>			
Production units						
	Company name	Address and GPS coordinates	Receiving water body	City	Country	Products
F-01	TRAPIA MALAYSIA SDN BHD	Address: PUSAT PERIKANAN BANDING KM 38,5 JALAN RAYA TIMUR – BARAT 33300 TASEK TEMENGGOR GERIK GPS coordinates: C1: N 5°28'38.90" ; E 101°20'11.00" A2: N 5°28'24.90"; E 101°19'19.60" A14: N 5°27'7.00"; E 101°19'23.10"	TEMENGGOR LAKE	PERAK DARUL RIDZUAN	MALAYSIA	TILAPIA
Changes since last audit						
No change						
1.4 Other information						

Brand name of the company:	TRAPIA MALAYSIA SDN BHD
Mention other existing certification schemes of licensee:	GLOBALGAP
Lead Auditor : LE TRAN TRUONG THUY signature	Company representative : signature

2. ASSESMENT OF ASC TILAPIA STANDARDS

Scope: Species of the Family Cichlidae commonly referred as Tilapia (*Oreochromis niloticus*, *O. mossambica*, *O. aureus* and *O. hybrids*)

Indicator	Compliance	COMMENTS
PRINCIPLE 1: OBEY THE LAW AND COMPLY WITH ALL NATIONAL AND LOCAL REGULATIONS		
1.1	<i>Evidence of legal compliance</i>	YES-NO-n/a Replace the compliance criteria with the audit findings and comments
1.1.1	Presence of documents proving compliance with local and national authorities on land and water use (e.g., permits, evidence of lease, concessions and rights to land and/or water use) Requirement: Yes Applicability: All Farms, Farm-Wide	- Sub-lease agreement on 14/04/2011 (Co. N. 78987-V) (old one is 5 years; new i 30 years) - Malaysian aquaculture farm certification scheme - Environment quality act 1974; Fisheries act 1985; Water act 1920, revised 1989; Environmetnal quality act (sewage and industrial effluents) regulation 197 - Verified the map (the attachment) not conflict with national preservation areas
1.1.2	Presence of documents proving compliance with all tax laws Requirement: Yes Applicability: All Farms, Farm-Wide	- Established on (26/09/2007), the noKP/PPP/S/202/01/1/359 on 10/10/2008 - Incoming tax act 1967, lease payment no 34765 (in 30years) on 27/07/2012 and no.34531 on 18/07/2012 - DOF (Ministry of Agriculture and Agro-based industry malaysia) no:0095 Malaysian Aquaculture farm certification scheme - fresh fish culture in cag (SPLAM-SAT-IAT-A-11-65] by Dato' Ahamad Sabki Bin Mahmood (direct general of fisheries, Malaysia chairman, Executive Committee Malaysia Aquafarm certification Programme valid from 08/12/2011 to 07/12/2013
1.1.3	Presence of documents proving compliance with all labor laws and regulations Requirement: Yes Applicability: All Farms, Farm-Wide	- Employees provident fund act act 1991 (act 452), employment act 1955, emplye social security act 1969 (act 4). - The labor contract, working handbook
1.1.4	Presence of documents proving compliance with regulations or permits concerning water quality impacts. Requirement: Yes Applicability: All Farms, Farm-Wide	- Environmental regulation (the guide for investor) – department of environment – Ministry of natural resources and environment on 10/2010 - Environmental quality act 1974 - The water analysis result of C1 (RWRP), A2 (RWFO), A14 (RWFA) for once/ mor from 01/09/2011 to 31/08/2012
2	MANAGE THE FARM SITE TO CONSERVE NATURAL HABITAT AND LOCAL BIODIVERSITY	
2.1	<i>Site information</i>	

2.1.1	Site location, history and stewardship activities matrix located in Appendix 1, Table 1 is completed and validated Requirement: Yes Applicability: All Farms, Farm-Wide	YES	- The Receiving Water Information Checklist in Audit Reference 2 (Table 1 Appendix 1 of the Standard) is completed and complied with this point - TRAPIA submit to CB on 19/08/2012
2.2	<i>Presence of natural or established tilapia species</i>		
2.2.1	Demonstration that the tilapia species cultured is established ^[1] and naturally reproducing in the receiving waters ^[2] , of the operation on or before 1 January 2008 ^[3] Requirement: Yes Applicability: All farm locations outside Africa (see 2.2.2), Farm-Wide	YES	This Article mention the introduction of tilapia (<i>O. mossambicus</i>) from Malaysia to Islands in 1949 and 1954. The following link is more accurate showing when was introduced <i>O. niloticus</i> to Malaysia in 1979 http://www.fao.org/docrep/007/y5728e/y5728e04.htm , additionally The Department of Fisheries Malaysia reports from 1989 aquaculture cage production the state of Perak where the lake is located see http://www.dof.gov.my resources, fisheries statistics, 1980-1989, 1989 Table - Marine Fisheries & Aquaculture, page 82.
^[1] "A non-indigenous species is considered established if it has a reproducing population within the basin, as inferred from multiple discoveries of adult and juvenile life stage over at least two consecutive years. Given that successful establishment may require multiple introductions, species are excluded if their records of discoveries are based on only one or a few non-reproducing individuals whose occurrence may reflect merely transient species or unsuccessful invasions." (National Oceanic and Atmospheric Administration)			
^[2] "Receiving water" is defined as all distinct bodies of water that receive runoff or waste discharges, such as streams, rivers, ponds, lakes and estuaries (adapted from World Health Organization). This does not include farm-constructed water courses, impoundments or treatment facilities (settling ponds, oxidation lagoons, compost pits, etc).			
^[3] Where there are no-discharge systems, or no discharge from farm into receiving waters, standards 2.2.1 and 2.2.2 are not applicable.			
2.2.2	In Africa, demonstration that the tilapia species and strain cultured is established and naturally reproducing in the receiving waters of the operation on or before 1 January 2008 Requirement: Yes Applicability: Farms located in Africa only (see 2.2.1), Farm-Wide	N/A	- The farm is located in Temenggor lake in Malaysia - Asia
2.3	<i>The effects of eutrophication</i>		

2.3.1	<p>The percent change in diurnal dissolved oxygen of receiving waters relative to dissolved oxygen at saturation for the water's specific salinity and temperature Requirement: ≤ 65% Applicability: All Farms, Farm-Wide</p>	NO MInor	<ul style="list-style-type: none"> - The DDDO data collected from 01-08/2012 which is in the range of 6.w hour before sunrise is around 7am not 8:00am - Equipment calibration is made for each time of measurement. - Morning DO measurement was not made at the correct time as requested by standard, was taken by the client 1 hour after sunrise, another lectures were taken at the correct time. + 6am - 7a.m: To: 28.8oC; DO: 6.8mg/L; Barometric pressure: 980.0mmHg, So/oo: 0; + 4pm-5pm: To: 29.6oC; DO: 8.5mg/L; Barometric pressure: 978.0mmHg, So/oo: 0; DDDO is 18.75% which is out of range (6.42-15.63%). However, at the taking sample time, the rain was heavy
2.4	<i>Water quality in oligotrophic receiving waters</i>		
2.4.1	<p>Secchi disk visibility^[4] limit above which production is not certifiable Requirement: 10 meters Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - SD data is collected from 01/09/2011 to 31/08/2012 - The depth of RWFA is 80 metter. SD is min 150cm and max 220cm. - At the audit: SD=1.7m <10m
2.4.2	<p>Compliance with standards 2.4.3. & 2.4.4. when Secchi disk visibility^[4] ≤ 5.0 meters Requirement: Yes Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - SD is min 150cm and max 220cm. - At the audit: SD=170cm <10m
2.4.3	<p>Total phosphorus concentration limit in receiving waters^[4] Requirement: ≤ 20 µg/L Applicability: All Farms, Farm-Wide</p>	N/A	<ul style="list-style-type: none"> - SD is min 150cm and max 220cm. - At the audit: SD=170cm <10m
2.4.4	<p>Chlorophyll a concentration limit in receiving waters^[4] Requirement: ≤ 4.0 µg/L Applicability: All Farms, Farm-Wide</p>	N/A	<ul style="list-style-type: none"> - SD is min 150cm and max 220cm. - At the audit: SD=170cm <10m
^[4] Measurements shall be taken at the Receiving Water Farm Afar (RWFA) sampling station. See Appendix II for RWFA definition.			
2.5	<i>Receiving water monitoring</i>		
2.5.1	<p>Receiving water quality monitoring matrix completed and validated (Appendix II) Requirement: Yes (6 months data, pre-audit, required) Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - The appendix II is in place (attachment) from March to April 2012 - Verified the equipment calibration for Mr. Hafiz. - The auditor took the samples and sent to the laboratory. The results are sealed CU 42798 & 42497 on 26/09/2012 fro RWRP1-2; RWFA1-2, RWFO1-2. The lab. Name is myCO2 with the certificate no MS ISO/IEC.17025 Testing SAMM No.38

2.6	Wetland conservation		
2.6.1	Hectares of allowable wetland ^[5] conversion since 1999 ^[6] Requirement: 0 ha Applicability: All Farms, Farm-Wide	N/A	Not applicable because that is not wetland
^[5] "Wetland is defined as lands where saturation with water is the dominant factor determining the nature of soil development and the types of plant and animal communities living in the soil and on its surface." (United States Environmental Protection Agency)			
^[6] The year Ramsar contracting parties adopted strategic framework for the development of the Ramsar List			
3	CONSERVE WATER RESOURCES		
3.1	Nutrient utilization efficiency		
3.1.1	The total amount of phosphorus added to the culture system per metric ton of fish produced per year. Use equations from Appendix III. Requirement: ≤ 27 kg Applicability: All Farms, Unit of Certification Only	NO minor	<ul style="list-style-type: none"> - 070512 invoice from 07/05/12 (2), 09/09/12 (2), 15/05/12. There is no commer the lot number of feed on 20/03/2012 - The record of weight of fish purchase, invoices, weight of fish produced, invoices for all fish sold are in place (paper and computer system) - Trapia has two feed providers for grow out at the moment of audit: EWOS a Cargill. A third provider was CP that was used until January 2012. - There was phosphorus content declaration only for EWOS and Cargill. CP amount of feed was 9,02% of the total feed consumed for the 12 months peri nutrient calculation, the phosphorus content of CP feed was calculated with h of EWOS comparing similar feed types. The variation of the real phosphorus content in the phosphorus input calculation will not increase or decrease the result calculated for the audit significantly considering that the quantity used i only 9,02%. - Nutrient budget worsheet (audit reference 8): TP input =22.66kg<27kg
3.1.2	The total amount of phosphorus released from the culture system per metric ton of fish produced per year. Phosphorus loading will be either calculated using equations from Appendix III or measured in effluent if there is post-culture treatment. Requirement: ≤ 20 kg Applicability: All farms, Unit of Certification Only	YES	<ul style="list-style-type: none"> - The record of weight of fish purchase, invoices, weight of fish produced, invoices for all fish sold are in place (paper and computer system) - Nutrient budget worsheet (audit reference 8): TP output =15.16kg<20kg - no post-culture treatment for phosphorus

3.1.3	<p>Calculation and verification of the total amount of nitrogen applied to the culture system. Use equations from Appendix III.</p> <p>Requirement: Measured in kg nitrogen/mt fish/year</p> <p>Applicability: All Farms, Unit of Certification Only</p>	YES	<ul style="list-style-type: none"> - The record of weight of fish purchase, invoices, weight of fish produced, invoices for all fish sold are in place (paper and computer system) - Nutrient budget worksheet (audit reference 8): TN input = 92.1kg
3.1.4	<p>Calculation and verification of the total amount of nitrogen released from the farming activity. Use equations from Appendix III.</p> <p>Requirement: Measured in kg nitrogen/mt fish/year</p> <p>Applicability: All Farms, Unit of Certification Only</p>	YES	<ul style="list-style-type: none"> - The record of weight of fish purchase, invoices, weight of fish produced, invoice for all fish sold are in place (paper and computer system) - Nutrient budget worksheet (audit reference 8): TN input = 70.9 kg
3.2	<i>Groundwater salinization</i>		
3.2.1	<p>Percent change in specific conductance of freshwater from a drilled well at the time of drilling and the time of audit. This is required when freshwater wells are used in combination with brackish surface water for the culture of tilapia. Freshwater aquifers are defined as having a specific conductance less than 1,300 µS/cm.</p> <p>Requirement: ≤ 10 %</p> <p>Applicability: Only farms where brackish water is used for tilapia culture, Farm-Wide</p>	N/A	<ul style="list-style-type: none"> - No Groundwater salinization, lake cage system
4	CONSERVE SPECIES DIVERSITY AND WILD POPULATIONS		
4.1	<i>Escapes from aquaculture facilities</i>		
4.1.1	<p>Presence of net mesh or grills/screens, barriers on inlets and outlets of culture vessels (e.g., tanks, ponds and raceways), and mesh on all netted confinement units (e.g., cages and impoundments), appropriately sized to retain the stocked fish</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<p>Inspected the net mesh, screen and barrier:</p> <ul style="list-style-type: none"> - 1-1-3g: 5mm - 6-100g: Orange Net (3/4") - >100g: Aquagrid 1 inch
4.1.2	<p>Presence of net mesh, or grills/screens and permanent barrier inspection register recording dates, findings and actions taken, including mitigation or fish containment structure repairs</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - Grow-out operation: Aquagrid passport and net inspection - Net inspection form recorded and kept from 2010 until now

4.1.3	Presence of trapping devices placed in effluent/drainage canals or in between cages to sample for escapees, and a record of findings and actions taken Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Escapee daily control SOP by Jorge Ulloa – Farm Operation ma - Fish Escapee and predator recording form control record from 2010 until no
4.1.4	In cage culture systems, the minimum distance between the bottom of the cage and the bottom of the receiving waters where the cage is placed Requirement: ≥ 3.0 m Applicability: Cage systems only, Farm-Wide	YES	<i>CAGE SYSTEMS ONLY</i> The depth of lake is 80m. The bottom of cage and bottom of the receiving water is >3m
4.1.5	The minimum percentage of males or sterile fish in a culture unit Requirement: 95 % Applicability: Land-based systems only, Farm-Wide	N/A	a. <i>The cage system</i>
4.2	<i>Transporting live tilapia</i>		
4.2.1	Presence and evidence of use of fish transport containers that have no escape path for fish Requirement: Yes Applicability: All Farms, Farm-Wide	YES	- Checked the containers, no escape paths for live fish transported to the farm and from the farm.
4.3	<i>Transgenic fish</i>		
4.3.1	Allowance for the culture of transgenic tilapia Requirement: No (None allowed) Applicability: All Farms, Farm-Wide	YES	Statement on non GMO use of GenoMar AS by Professor, Dr Medicine Veterinaria (DVM) Norway
4.4	<i>Predator control</i>		
4.4.1	Use of lethal ^[7] predator control Requirement: No (None allowed) Applicability: All Farms, Farm-Wide	YES	No use Lethal predator control
^[7] <i>The use of lethal predator control is prohibited, unless a predator becomes impinged in netting and is required to be euthanized.</i>			
4.4.2	Mortality of IUCN Red Listed species Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	- No have mortality of IUCN red listed species. The location of finding is in South and southeast Asia – Malaysia
5	USE RESOURCES RESPONSIBLY		

5.1	<i>Use of wild fish for feed (fishmeal and oil)</i>		
5.1.1	Feed Fish Equivalence Ratio (FFER). See Appendix IV for feed calculations. Requirement: ≤ 0.8 Applicability: All Farms, Unit of Certification Only	YES	- The letter of Cargill and EWOS. The time of finishing used from 01/09/2011 to 31/08/2012 is on 01/2012. (Audit reference 9) - FFER <0.8: fishmeal coming from by-product and fish oil from fishsource >6.0
5.1.2	Allowance for the use of fishmeal and fish oil in tilapia feed containing products from fisheries that are listed on the IUCN's Red List or the species list maintained by the Convention on the International Trade of Endangered Species of Wild Fauna and Flora Requirement: None Applicability: All Farms, Unit of Certification Only	YES	- The letter of Cargill and EWOS - Checked CITES and IUCN: no species in the list
5.1.3	Timeframe for producers to source feed containing fishmeal or fish oil originating from fisheries deemed sustainable by an ISEAL member's accredited certification scheme Requirement: 5 years following the date of ISRTA publication Applicability: All Farms, Unit of Certification Only	N/A	- Not applicable in this time - The letter of Cargill and EWOS
5.1.4	Prior to achievement of 5.1.3, the average FishSource score characterizing the fishery(ies) from which the fishmeal or fish oil is derived. See Appendix V for explanation of FishSource scoring. Requirement: ≥ 6.0 with no individual score < 6.0 or an N/A in the stock assessment category Applicability: All Farms, Unit of Certification Only	YES	The score is > 6
5.2	<i>Preference for better feed manufacturers</i>		

5.2.1	Timeframe for producers to provide evidence of preferential sourcing of feed products from feed manufacturers that have a sustainable sourcing policy for feed ingredients, and traceability of feed ingredients Requirement: 2 years following the date that the ISRTA are published Applicability: All Farms, Unit of Certification Only	YES	<ul style="list-style-type: none"> - The list of feed suppliers in the audit reference 1 - Checked the letter of CARGILL and EWOS with communication of the Cargill & EWOS and the feedback of TRAPIA.
5.3	Energy use		
5.3.1	Identification of the energy sources and calculation and verification of total energy used at the culture facility Requirement: Measured in kilojoules/mt fish/year Applicability: All Farms, Farm-Wide	YES	Energy budget worksheet completed (Audit Reference 10) is completed (the attachment). The total energy used is 4,667 kilojoules/mt fish)
6	MANAGE FISH HEALTH AND WELFARE IN AN ENVIRONMENTALLY RESPONSIBLE MANNER		
6.1	Stocked tilapia recovery		
6.1.1	Percent recovery ^[8] of fish stocked in production stages after they have attained a size of 100 grams Requirement: ≥ 65 Applicability: All Farms, Unit of Certification Only	YES	<ul style="list-style-type: none"> - <i>The data from 01-09-11 to 31-08-2012</i> <p>The letter of justification for using an alternative calculation: The alternative calculation made by TRAPIA was the following:</p> <p>% recovery = grow out initial population (20gr) / grow out harvest population</p> <ul style="list-style-type: none"> - Checked the calculation, the percent recovery of fish stocked in production stages is average 75% which complies with the requirement
^[8] Recovery does not include recruitment of tilapia resulting from reproduction within the culture system.			
6.2	Chemicals		
6.2.1	Allowance for the use of chemicals and therapeutants for disease and pest control that are banned in the importing or producing country Requirement: None Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - The list is updated on 21/04/2012 with contact information - List of all countries where product has been exported to for the prior 12-month period Canada, USA and Euro (Holland) - List of banned substances for producing and exporting country and authoritative regulating body in producing country (contact information required)
6.2.2	Allowance for the prophylactic use of antibiotics, prior to any evidence of a disease problem Requirement: None Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Antibiotics used are Amoxicilline, Florfenicol, Aquafen (florfenicol), Oxytetracycline. GB10 released on 17/05/2012, harvested from 02-06/10/2011, amoxicilline use in 4 times for streptococcus (80mg/kg), purchase, OSD OPERATION: MEDICATED FEEDS PREPARATION FORM on 30/08/2011 for GB10, feed type is CP, amoxicilline, lot no. 11050700 with the invoice no. 452786

			<p>on 11/08/2011 and veterinary prescription np.1001554</p> <ul style="list-style-type: none"> - Total amount of antibiotic used is average 0.89kg/ metric ton from 01/09/2012 to 31/08/2012. - Amoxicilline is used in the grow-out farm and the other used in the nursery.
6.2.3	<p>Minimum hold time required before any water in which fish have been fed with feed containing methyl or ethyl testosterone can be released</p> <p>Requirement: ≥ 48 hours</p> <p>Applicability: All Farms, Farm-Wide</p>	N/A	<i>No hatchery is at grow-out site</i>
6.2.4	<p>Health records proving all therapeutants were used or are being used as prescribed by a veterinary or accredited fish health professional</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - Antibiotics used are Amoxicilline, Florfenicol, Aquafen (florfenicol), Oxytetracycline. GB10 released on 17/05/2012, harvested from 02-06/10/2011, amoxicilline use in 4 times for streptococcus (80mg/kg), purchase, OSD OPERATION: MEDICATED FEEDS PREPARATION FORM on 30/08/2011 for GB10, feed type is CP, amoxiciline, lot no. 11050700 with th invoice no. 452789 11/08/2011 and veterinary prescription np.1001554 - Specific accreditation(s) for health professionals is Mr. Alejandro Tola Alvarez (graduated Bachelors degree in Veterinary studies at Faculty of Veterinary Stud of The University of Santiago De Compostela with Degree no. 038182002.
6.2.5	<p>Calculation and verification of the total amount of each antibiotic (active ingredient) used per mt fish produced per year.</p> <p>Requirement: Measured in kilograms of active ingredient of individual antibiotic/mt of fish produced/year</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - Checked the system record and summarization of data from 01/09/12 – 31/08/12, the amount of active ingredient used is Amoxicilline which is only used in the grow-out calculated. The average antibiotic consumption is arou 0.89 kg/ mt fish
6.3	Mortalities		
6.3.1	<p>Presence of records demonstrating that fish mortalities are removed consistently on a minimum daily basis</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Unit of Certification Only</p>	YES	<ul style="list-style-type: none"> - Mortality records from 01/09/11 to 31/08/12 is in place and collected daily
6.3.2	<p>Evidence proving acceptable disposal of dead fish, (i.e., landfill receiving receipts, sales receipts, permits or approvals for onsite burial, and assurance if converted to animal meals not destined for the culture of tilapia)</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - Veterinary health plan mentioned the acceptable disposal of dead fish - GERIK district local authority of Malaysia allow Desa Cergas Enterprise for collecting and disposal of dead fish with the letter no.IP0299496. The record of annexe A – Waste Trnasfer Note - cleaning and sanitation of facility and equipment

6.4	<i>Fish health management</i>		
6.4.1	<p>Presence and evidence of implementation of a fish health plan that is site-specific and contains effective methods for 1) Protecting the farm from introduction of pathogens, 2) Preventing the spread of pathogens within the farm and to the receiving waters and 3) Reducing the potential for development of disease resistance by ensuring responsible therapeutant use</p> <p>Requirement: Yes Applicability: All Farms, Farm-Wide</p>	YES	Veterinary health plan by Dr. Alejandro Tola Alvarez


Social requirements of this Standard shall be audited by an individual who is a lead auditor in conformity with SAAS Procedure 200 section 3.1. (See ASC Farm Certification and Accreditation Requirements)			
7	BE SOCIALLY RESPONSIBLE		
7.1	<i>Child labor</i>		
7.1.1	Number of incidences of child ^[9] labor ^[10] Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - 16 years by Malaysia Law - No child and Young Workers found in the company - N/A. No Young workers (from 15 to less than 18): have no conflicts between work and schooling; do not spend more than 10 hours/day on transportation time, school and work; do not perform hazardous work. - N/A for Equal treatment for children of migrant workers.
<p>^[9] A “child” is defined as any person less than 15 years of age. A higher age would apply if the minimum age law stipulates a higher age for work or mandatory schooling. If, however, the local minimum age law is set at 14, in accordance with developing country exceptions under ILO Convention 138, the lower age will apply.</p>			
<p>^[10] “Child labor” is defined as any work by a child younger than the age specified in the definition of a child, except for light work as provided for by ILO Convention 138, article 7.</p>			
7.2	<i>Forced, bonded, compulsory labor</i>		
7.2.1	Number of incidences of forced ^[11] , bonded ^[12] or compulsory labor Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Verified the labour contract No 880719-03-6089 and found that everything is fine. - Interview and found that they can back home after the working time - Interview and found that all only the copies of workers’ document. - Interview workers and found that no any part of workers’ salaries, benefits, property or documents are kept to oblige them to continue working for employer. - Interview and found that they can back home after the working time
<p>^[11] “Forced (compulsory) labor” is defined as all work or service that is extracted from any person under the menace of any penalty for which a person has not offered him/ herself voluntarily or for which such work or service is demanded as a repayment of debt. “Penalty” can imply monetary sanctions, physical punishment, or the loss of rights and privileges or restriction of movement (e.g., withholding of identity documents).</p>			
<p>^[12] “Bonded labor” is defined as when a person is forced by the employer or creditor to work to repay a financial debt to the crediting agency.</p>			
7.3	<i>Discrimination in the work environment</i>		
7.3.1	Number of incidences of discrimination ^[13] Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Written anti-discrimination policies in the notice board. - Employee handbook dated 15.06.2012 - a policy protecting pregnant and lactating mothers dated 03/11/11 - a policy against HIV discrimination dated 03/11/11

<p>^[13] "Discrimination" is defined as any distinction, exclusion, or preferences, which has the effect of nullifying or impairing equality of opportunity or treatment. Not all distinction, exclusion, or preference constitutes discrimination. For instance, a merit- or performance-based pay increase or bonus is not by itself discriminatory. Positive discrimination in favor of people from certain underrepresented groups may be legal in some countries.</p>			
7.3.2	Evidence of proactive anti-discrimination practice Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Self –declaration on good social practices dated 01/03/2012 - The non –discrimination training record dated 21.05.2011 - 90% local people and other 10% are from another area.
7.4	<i>Health and safety of workers</i>		
7.4.1	Percentage of workers trained in health and safety practices/ procedures/ policies Requirement: 100 % Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Policy on Health and safety - Contingency plan and disaster Management WI-SEC-001 Five evacuation procedure - Yearly Safety training plan has been set up in 22 march 2012. Verified the training record for new employees in 08/09/2012 - Updated list of chemical is available
7.4.2	Percentage of health- and safety-related accidents and violations recorded and mitigated through corrective actions. Requirement: 100 % Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - N/A. Documentation is generated with regards to occupational health and safety violations. There is no accident and violations until the audit - Monthly monitoring process and flow chart. - Interview and found that the workers could understand all issues there.
7.4.3	Employer responsibility and proof of insurance (accident/ injury) for employee costs in a job-related accident or injury when not covered under national law Requirement: 100 % Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Receipt for insurance payment issued by Social and security Organization of Malaysia dated 20.07.2012
7.5	<i>Wages, overtime and working hours</i>		
7.5.1	The percentage of employees who are paid fair and decent wages. Requirement: 100 % Applicability: All Farms, Farm-Wide	NO	<ul style="list-style-type: none"> - Self –declaration on good social practices dated 01/03/2012 - The minimum wage of company has been calculated is RM 700 - Grievance procedure is available - The minimum wage of company has been calculated is RM 700 - No proof that basic needs wage of 2012 has been researched - There is no local minimum established

7.5.2	Incidences of abuse of working hours and/or overtime laws Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - No money deduction found - Checked the payment at 01-08-2012 to 31-08-2012 and found that The pay slip; payroll and timesheet are consistent and clear. The overtime has been charge by 1.5 as per law. - No Labor-only contracting^[14] or false apprenticeship schemes^[15] are available - Clear Pay slip established - 6 days per week; one day off. - Rotated day off per week - The pay slip; payroll and timesheet are consistent and clear. The overtime has been charge by 1.5 as per law. - Overtime work is voluntary
<p>^[14] Labor-only contracting arrangement: The practice of hiring workers without establishing a formal employment relationship for the purpose of avoiding payment of regular wages or the provision of legally required benefits, such as health and safety protections</p>			
<p>^[15] False Apprenticeship Scheme: The practice of hiring workers under apprenticeship terms without stipulating terms of the apprenticeship or wages under contract. It is a “false” apprenticeship if its purpose is to underpay people, avoid legal obligations, or employ children</p>			
7.6	Freedom of association and right to collective bargaining		
7.6.1	Incidences of employees denied freedom to associate, ability to bargain collectively ^[16] or denied access to representative(s) chosen by workers Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Workers have the freedom to form and join any trade union, free of any form of interference from employers - Worker Representative confirmed that no outstanding cases against the employer for violations of employees from the last 01 year. - Interview Worker Representative -Trade union representative - No trade unions exist. As the Trade Union is not compulsory by laws - No trade unions exist. As the Trade Union is not compulsory by law.
<p>^[16] “Bargain collectively” is defined as a voluntary negotiation between employers and organizations of workers in order to establish the terms and conditions of employment by means of collective (written) agreements.</p>			
7.7	Disciplinary Actions		
7.7.1	Incidences of abusive disciplinary actions Requirement: 0 (zero) Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - No evidence found. - No evidence found out that.
7.7.2	Evidence of non-abusive disciplinary policies and procedures Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - In the employee handbook for all employees, there Procedures exist for situations
7.8	Action response plans/policies		

7.8.1	Evidence of implementation of a corrective action plan (updated annually) that addresses unintended problems associated with labor relations and internal monitoring of labor activities. Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Employee handbook have been provided all employees; which is covering all regulation. - Interview and found that most of them are aware of the action plans and their results
7.8.2	Evidence of implementation of an emergency action plan and annual (or more frequent) internal monitoring activities Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Contingency plan and disaster Management WI-SEC-001 ; Five evacuation procedure - Training records for evacuation; fire practice 30/03/2012; Training record on First aid and cardio pulmonary resuscitation dated 07.08.2012 by Malaysian Red Crescent
7.8.3	Evidence of implementation of a verifiable conflict resolution policy for conflicts and complaints tracked transparently, and proof that conflicts and complaints from employees are responded to within three months after being received. Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Self –declaration on good social practices dated 01/03/2012 - N/A. Three month time-frame from employee conflict filing and response upheld. No complaint until audit - Not applicable as no complaint - Interview some employees and found that they understand this process
7.9	<i>Living conditions for employees</i>		
7.9.1	Evidence that living conditions are clean, sanitary and safe for habitation Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Certificate of analysis for portable drinking water No : L1206-E8681 dated 29 June 2012 - There are some dustbins in the work places. - By observation and interview the worker, workers were trained for waste management. The farm is kept in clean - The workers stay in their houses and go to work every day. Not stay in the dormitory.
7.10	<i>Community relations and interaction</i>		
7.10.1	Evidence that farms are not inhibiting or restricting local community access to public land, freshwater resources or public fishing grounds Requirement: Yes Applicability: All Farms, Farm-Wide	YES	<ul style="list-style-type: none"> - Only one family lives near the farm. The farm is also in isolated area.

7.10.2	<p>Evidence of implementation of a verifiable conflict resolution policy for conflicts and complaints tracked transparently, and proof that conflicts and complaints from communities are responded to within three months after being received</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Farm-Wide</p>	YES	<ul style="list-style-type: none"> - Workplace conflict resolution policy dated 15.06.2011. - Not applicable as nothing happen. - Neighbour receives the information on the impact of its activities from the Farm manager. - Survey Social & Environmental Economic report dated 06/01/2009. - Survey Social & Environmental Economic report dated 06/01/2009 - Economic impacts of the farm activities report dated 12/09/2012 –at least annually and report to the community
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ASC audit checklist ASCASS-TIL.F01(01)		 Control Union Certifications Member of Control Union World Group
Report number:	814690.ISRTA.2012.01.LTTT	
3. Summary and Conclusion		
3.1 List of non-conformities		
ASC Tilapia standard Item	Description (major NC or Minor NC and findings)	
2.3.1	Minor NC - DO measurement: one hour before sunrise is around 7am not 8:00am. - DDDO is 18.75% which is out of range (6.42-15.63%). However, at the taking sample time, the rain was heavy	
3.1.1	Minor NC - 070512 invoice from 07/05/12 (2), 09/09/12 (2), 15/05/12. There is no comment the lot number of feed on 20/03/2012 - No have the letter of the feed manufacturer states phosphorus content of CP	
7.5.1	Minor NC - No proof that basic needs wage of 2012 has been researched	
3.2 Mention all other units, which have been visited including storage rooms		
- Feed storage - Chemical storage		
3.3 Mention products and samples if it has been taken during the inspection		
The water samples of RWFA, RWFO, RWRP		
3.4 Comments		
3.5 Attachments to this report		
The map of Grow-out		
3.7 Summary and conclusion		

Does the farm comply with ASC Tilapia standard?	
<u>YES</u> NO	
4. Approval of the assessment report by Client	
Date: 19/09/2012	Date: 19/09/2012
Auditor : LE TRAN TRUONG THUY	Company representative :
signature	signature

5. Review of the assessment report by the certifier	
Date:	
Certifier :	
signature	

ASC Non-Conformity and Re-assessment form

Client number and name	CU 814690 – TRAPIA MALAYSIA SDN BHD
Production unit	TRAPIA GROW OUT A, B AND C
Number of non-conformity	2

Classification of non-conformity	
MAJOR Non-Conformity	<input type="checkbox"/>
MINOR Non-Conformity	<input checked="" type="checkbox"/>

Found non-conformity * Mention failing and audit finding and evidence	Morning DO measurement was not made at the correct time as requested by the standard, was taken by the client 1 hour after sunrise
Section number of checklist/ Reference to standard	2.3.1 ASC Tilapia standard V 1.0 April 2012
Deadline for corrective actions	18/09/2013

Approval of Non-Conformity by client		
Date:	Name and signature inspector	Name and signature <u>client</u> (Signed for approval non-conformity)

Re-assessment

Re-assessment done by:	Document review	<input type="checkbox"/>	Site visit	<input type="checkbox"/>	Interview	<input type="checkbox"/>	Other:	<input type="checkbox"/>
Result(s) of re-assessment of the non-conformity:	Mention details like: name of documents, sites, and person(s), corrective action plans Cause: Time requirements of this standard was overlooked by technical managing and crew that is in charge of lectures Corrective actions: From audit the lectures will be taken 6 am. Records of time of measurements will be showed in the next surveillance audit							
Status of non-conformity:	<input checked="" type="checkbox"/>	Open						
	<input type="checkbox"/>	Closed						
	<input type="checkbox"/>	Outstanding: fill in a new non-conformity form.						

Approval of the re-assessment

Date:	Name and signature auditor:

Approval of the re-assessment by the certifier

Date:	Name and signature certifier (signed for approval of the re-assessment):

ASC Non-Conformity and Re-assessment form

Client number and name	CU 814690 – TRAPIA MALAYSIA SDN BHD
Production unit	TRAPIA GROW OUT A, B AND C
Number of non-conformity	1

Classification of non-conformity	
MAJOR Non-Conformity	<input type="checkbox"/>
MINOR Non-Conformity	X

Found non-conformity * Mention failing and audit finding and evidence	- 070512 invoice from 07/05/12 (2), 09/09/12 (2), 15/05/12. There is no comment the lot number of feed on 20/03/2012 - No have the letter of the feed manufacturer states phosphorus content of CP
Section number of checklist/ Reference to standard	3.1.1 ASC Tilapia standard V 1.0 April 2012
Deadline for corrective actions	18/09/2013

Approval of Non-Conformity by client

Date:	Name and signature inspector	Name and signature <u>client</u> (Signed for approval non-conformity)

Re-assessment

Re-assessment done by:	Document review <input checked="" type="checkbox"/>	Site visit <input type="checkbox"/>	Interview <input type="checkbox"/>	Other: <input type="checkbox"/>
Result(s) of re-assessment of the non-conformity:	<p>Mention details like: name of documents, sites, and person(s), corrective action plans Cause: CP stops providing in the middle of the 12 months (January) information required by the standard, the intention and contract for ASC certification was signed at June 2012</p> <p>Corrective Action Plan: Trapia will request all the information required by the ASC standard (N P contents, fish meal, fish oil, species used) for any new feed provider from the start of providing, so if they stop providing between the 12 months period for calculations the information will be available.</p>			
Status of non-conformity:	x	Open		
		Closed		
		Outstanding: fill in a new non-conformity form.		

Approval of the re-assessment

Date:	Name and signature auditor:

Approval of the re-assessment by the certifier

Date:	Name and signature certifier (signed for approval of the re-assessment):

ASC Non-Conformity and Re-assessment form

Client number and name	CU 814690 – TRAPIA MALAYSIA SDN BHD
Production unit	TRAPIA GROW OUT A, B AND C
Number of non-conformity	3

Classification of non-conformity	
MAJOR Non-Conformity	<input type="checkbox"/>
MINOR Non-Conformity	<input checked="" type="checkbox"/>

Found non-conformity * Mention failing and audit finding and evidence	- No proof that basic needs wage of 2012 has been researched
Section number of checklist/ Reference to standard	7.5.1 ASC Tilapia standard V 1.0 April 2012
Deadline for corrective actions	18/09/2013

Approval of Non-Conformity by client		
Date:	Name and signature inspector	Name and signature <u>client</u> (Signed for approval non-conformity)

Re-assessment

Re-assessment done by:	Document review <input checked="" type="checkbox"/>	Site visit <input type="checkbox"/>	Interview <input type="checkbox"/>	Other: <input type="checkbox"/>
Result(s) of re-assessment of the non-conformity:	Mention details like: name of documents, sites, and person(s), corrective action plans - Trapia will research with government and/or NGOs basic needs wage			
Status of non-conformity:	<input checked="" type="checkbox"/>	Open		
	<input type="checkbox"/>	Closed		
	<input type="checkbox"/>	Outstanding: fill in a new non-conformity form.		

Approval of the re-assessment

Date:	Name and signature auditor:

Approval of the re-assessment by the certifier

Date:	Name and signature certifier (signed for approval of the re-assessment):