



FORM 1 – REQUEST FOR INTERPRETATION OR VARIANCE

This form is for the submission of requests by CABs to the ASC to request interpretations of the ASC normative requirements and/or requests for variance from specific normative requirements.

I CAB Request

1.1 NAME OF CAB	1.2 DATE OF SUBMISSION	1.3 CAB CONTACT PERSON	1.4 EMAIL ADDRESS OF CAB CONTACT PERSON
CONTROL UNION PERU, CUP	22-03-2013	Le Tran Truong Thuy Efrain Calderon	lethuy@controlunion.com ecalderon@controlunion.com
1.5 ASC DOCUMENT REFERENCE			
ASC Farm Certification and Accreditation Requirements_version 1.0 Consideration for products harvested on and from the date of ASC audit			
1.6 BACKGROUND (PROVIDE FULL EXPLANATION OF THE ISSUE)			
The registered unit, CON LINH A Farm, is pangasius farm of GODACO SEAFOOD JOINT STOCK COMPANY. This farm was audited from 03-04/01/2013 by CONTROL UNION VIETNAM. This was the initial announced audit of this farm under CUP accreditation. Completing the audit, there were 7 minor non-conformities that don't represent any risk for the ASC products integrity or complete absence of systems to guarantee ASC standards compliance. The detail of NCs as following:			

No. of NC	Criteria	Level	Description of non-conformity	Action plan to dealt with	Status	The potential risk
1	2.4.1	Minor	The farm could not provide the statement from the reputable independent institution indicating the water	Continue to look for the organization that has enough competent to do the water allocation limit analysis to finish the research in the year 2013.	Open	No
2	4.3.1	Minor	There is no statement that the hatchery does not use wild-caught seedling	The farm requests their seedlings supplier to make statement of not using wild-caught seed.	Open	No
3	4.4.1	Minor	There is no statement that the seed is not genetically engineered (transgenic) or hybrid.	The farm requests their seedling supplier to make statement of not using genetically engineered	Open	No



4	6.4.1	Minor	Although the farm maintains all "Form of inputting chemical/ medicine" for every event of input chemical/ medicines, but some "VAT invoice" of purchasing chemical/ medicines are in lack	The farms re-checks all of their documentation system and request the accounting department sending all lacked documents	Open	No
5	6.4.4	Minor	The farm has not established the mortality threshold	Basing on the current records of production cycle of the farm, the farm establishes the dead fish threshold for the farm. And they do training for workers about dead fish threshold of the farm	Open	No
6	7.4.1	Minor	Site tour observed and found that no life-vest is available in the boat	The farm equip life-vests on rafts, and workers are trained to use life-vest when working on the rafts	Open	No
7	7.6.1	Minor	No evidence that the pregnant policy has been established	The farm establishes the women labor policy and trains farm's workers about this policy	Open	No

1. 7 RECOMMENDED ACTION/DECISION

Considering the level of compliance of GODACO SEAFOOD JOINT STOCK COMPANY in its certification unit: CON LINH A Farm, CUP requests to ASC the approval for GODACO SEAFOOD JOINT STOCK COMPANY to commercialize as certified products for all products that harvested on and from the audit date of January 03rd-04th 2013 once an ASC certificate is issued.

II ASC Determination

2.1 STATUS	2.2 DATE OF THE ASC DETERMINATION
Closed	18-04-2013
2.3 ASC DETERMINATION ON VARIANCE REQUEST	
The ASC approves this request for the following farm, owned by GODACO SEAFOOD JOINT STOCK COMPANY: Con Linh A Farm (single site)	
2.4 ASC INTERPRETATION	
Fish as harvested from 03 January 2013 onwards is eligible as ASC certified product and can be claimed as such, provided the traceability was safeguarded by valid Chain of Custody certification by companies which sourced these products and all relevant logo licensing requirements were correctly met.	