



Aquaculture Stewardship Council Audit Report for Farms Pangasius

QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM

Date:	15-sept-13	By:	BUREAU VERITAS CERTIFICATION VIET NAM
CLIENT :	QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM		ASSESSORS TEAM : HUYNH VAN THUAN LY VI CUONG (TRAINEE)
MAIN CONTACT (Audited person):	Mr PHAM VAN THOI - TECHNICAL MANAGER.		
REPORT REFERENCE :	ASC- PANGASIUS STANDARD V 1.0	REPORT WRITING DATE :	10-Oct-13
LEAD ASSESSOR :	Mr NGUYEN HUY	REPORT REVIEWING DATE :	10-Oct-13
ASSESSMENT / MISSION	INITIAL	X	
	SURVEILLANCE		
	COMPLEMENTARY /SUPPLEMENTARY		
<u>Summary:</u>			
<p>Farms located in a good positions for Aquaculture developing area and has a good investment in management system and facility. Farm technical team had very good aware of ASC standard and received a strong support from QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM's steering committee in applying ASC Pangasius standards. Farm has a strong management systems & ready to get certify with ASC Pangasius standards.</p>			
<u>Background on the Applicant Farm:</u>			
<p>QUANG MINH SEAFOOD COMPANY LTD., a well-known frozen Pangasius producer, certified HACCP, BRC food standard, was established in 2009 in Can Tho City. DONG HAU FARM located at Đông Hậu commune, Ngai Tu Village, Tam Binh District, Vinh Long Province, Vietnam. QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM is divided into seven (07) grow-out ponds and two (02) settlement ponds and one sluge repository ponds, built on 2008. Farm have farm offices, fish feed stores, chemical and antibiotic warehouses and worker accommodations in the farm. The farms share water from Hau river with local communities.</p> <p>There are 12 employees working in the farm. Most of workers can stay in the farm and get enough accommodation and food. QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM had the Global GAP certified year 2010-2012. The farms use Proconco J.S.C who has Global GAP certified to supply fish feed, use Thoi Ngu Hatchery Centre for the seed supplier.</p>			
<u>Scope:</u>			
STANDARD	ASC Pangasius Standard Version 1,0 - Jan 2012.		
Activity & scope of the audit:	Farming of Pangasius species		
Species :	Pangasianodon hypophthalmus / Pangasius hypophthalmus		
Description of receiving water body :	Hau river.		

<u>Audit Plan:</u>		
· Desk reviews and other activities undertaken before or after any site visits.	Preview of Quality Manual, Fish Health Plan & all calculations of Harvested Ponds.	
· Stakeholder submissions, including written or other documented information and CAB written responses to each submission.	Bureau Veritas will notify potential stakeholders of the planned and invite their participation in writing prior to the audit or in person during the on-site visit. All stakeholders, even if not directly addressed by Bureau Veritas are Invited to become involved	
· Sites of the Company concerned by the ASC. For each site show:	Name :	QUANG MINH SEAFOOD COMPANY LTD., - DONG HAU FARM
	Address :	Đông Hậu commune, Ngai Tu Village, Tam Binh District, Vinh Long Province, Vietnam.
	Contact :	Mr PHAM VAN THOI - TECHNICAL MANAGER.
	Other certifications held :	DONG HAU FARM had the Global GAP certificate
	Names and affiliations of individuals consulted or otherwise involved in the audit (representatives of the client, employees, contractors, stakeholders and any observers that participated in the audit):	Mr. Pham Van Thoi - Quality Manager Ms. Nguyen Thi Thoa - Quality Depp. Staff Ms. Tran Thi Hong Tham - HR Mr. Nguyen Thanh Vu - Farm Manager Mr. Nguyen Đàng Thuong - Technician AAH Specialist Ms. Nguyen Thi Tam - Technician Mr. Nguyen Thanh Huy - Farm worker Mr. Ngo Van Hung - Farm worker
Date & Duration of the visit :	09-10/09/2013	
<u>Previous Audits (if applicable):</u>		
N/A, This in the initial audit.		

Findings						
	PREVIOUS ASSESSMENTS REVIEW			CURRENT ASSESSMENT CONCLUSION		
	Number	NON-CONFORMANCES REFERENCES	Open/closed	Number	N-CONFORMANCES REFERENC	Open /closed
Observations	NA	NA	NA	0	0	NA
Minor NC	NA	NA	NA	11	NC-EV1- EV8 and NC-SC1-SC4	CLOSED
Major NC	NA	NA	NA	0	0	NA
Summary of Conditions :	There are 12 Minor Non- conformities found by BVC on 09,10 Sep 2013, All Non conformities on initial audit were closed					
Certification status of the applicant:	NOT YET CERTIFIED					
<u>Evaluation Results:</u>						
Please see Audit Grid attached						
<u>Determination of the start of the CoC</u>						
<u>Determination of the eligibility of aquaculture products to enter further Chains of Custody and the points at which they can enter</u>						
Evaluation of the system of tracking, tracing and segregation in the aquaculture operation is sufficient to make sure all aquaculture products identified and sold as certified by the operation originate from the unit of certification certified						
Item	Risk Level			comments of the auditor and evidences		
	Low risk	Medium risk	high risk			
1. The tracking, tracing and segregation systems in use	X			Harm had clear system for tracking, tracing and segregation.		
2. The opportunity of substitution of certified with non-certified product prior to and at harvesting	X			Farm only product one kind of product, all will be certify		
3. The possibility of introducing product from outside the unit of certification	X			Farm have good traceability & recording system, it is not easy for introducing product from outside the unit of certification.		
4. The robustness of the applicant or certificate holders' management system	X			Management system were good.		
5. Any transshipment activities taking place	X			When harvesting fish, use boat to transport fish alive from farm to processing plan.		
6. Any subcontracted post-harvest handling or processing	X			Only use subcontracted when harvesting.		
Advice of the auditor	YES		NO	JUSTIFICATION		
the systems are sufficient, aquaculture products from the operation may enter into further	X			Traceability systems are sufficient		

<p>certified chains of custody and be eligible to carry the ASC label.</p>	<p style="text-align: center;">^</p> <p style="text-align: right;">Traceability systems are sufficient</p>
<p>Determination of the eligibility of aquaculture products to enter further Chains of Custody and the points at which they can enter</p>	<p>Products included in the scope of certification detailed in this report may enter further certified chains of custody and are eligible to apply to carry the ASC label. Considerations for the decision: - <u>Tracking, tracing and segregation systems within the aquaculture operation:</u> the farm keeps all records related to the origin of fish such as grow out pond and fingerling supplier. Basing on this records, farm could trace from its products to the processing unit. Currently, all product harvested is only processed in the processing FACTORY of QUANG MINH SEAFOOD COMPANY LTD.. - <u>Use of transshipment:</u> Have no transshipment. The both farms use well-boat in order to transport the harvested fish to the processing Factory directly from the farm. - <u>Eligible operators and point(s) of landing:</u> There are only one point of harvesting at farms and a unique point of landing at the processing Factory of QUANG MINH SEAFOOD COMPANY LTD.. - <u>The opportunity of substitution of certified with non-certified product within the unit of certification:</u> There is no chance of substitution and only certified products are produced by the same legal entity (QUANG MINH SEAFOOD COMPANY LTD.,) - <u>Point from which Chain of Custody certification is required:</u> Chain of custody certification is required after harvested when fish is received at processing Factory of QUANG MINH SEAFOOD COMPANY LTD. which also owned by the same legal entity, which is planed to be certified ASC CoC by Bureau Veritas Certification, audited on Oct 2013. This determination will remain in force until revised by the CAB in a subsequent audit.</p>
<p><i>Describe points of change of ownership after which chain of custody certification is needed</i></p>	<p>The scope of the certification includes the growing, harvesting. Coc certification is required from the point of first sale to the processing plan. Only products harvested on or after the date of initial ASC DONG HAU Farm audit are approved to carry the ASC label.</p>

CERTIFICATION DECISION	
BUREAU VERITAS CERTIFICATION determines that all the requirements of the standard are sufficiently met and has certified QUANG MINH SEAFOOD - DONG HAU FARM A certificate has been issued for the scope specified in the section "scope" above in the report . Any outstanding non-conformities and their status are listed in the section "Findings" above in the report.	
Date of issuing:	28/11/2013
Date of expiring:	27/11/2016
Scope of the certificate:	Aquaculture operations for Pangasius
List of all outstanding non-conformities:	<i>All Minor Non conformities raised on initial audit 09,10 Sept 2013 were closed</i>
Signature of the client	
Signature of the auditor	
<u>Non-conformity Report(s)</u>	
<i>Please see non-conformity reports attached</i>	
<u>Confidential data for commercially sensitive information</u>	
<i>This report is not contain confidential annexes for commercially sensitive information. Bureau Veritas had been agree the content of commercially sensitive information with the applicant.</i>	