


|  <b>Aquaculture Stewardship Council Audit Report for Farms Trout</b>  |  |   |   |
|--|--|---|---|
| <b>Sefro Freshwater Farm</b>   |  |   |   |
| Date:  | 21/05/2014   | BUREAU VERITAS Certification - Denmark - Oldenborggade 2, 7000 Fredericia |   |
| CLIENT :   | AZIENDA AGRICOLA TROTICOLTURA EREDE<br>ROSSI SILVIO DI ROSSI NICCOLA   | ASSESSORS TEAM :  | Sølvi Skare, ASC Lead Auditor, Lars Windmar, Aquaculture specialist (ASC farm auditor trainee), Alberto Sartori, LA SA 8000 |
| MAIN CONTACT<br>(Audited person):  | Francesca Pacioni  |   |   |
| REPORT REFERENCE :   | ASC Freshwater Trout Standard Version 1.0, February 2014   | REPORT WRITING DATE :   | 23/05/2014  |
| LEAD ASSESSOR :  | Sølvi Skare  | REPORT REVIEWING DATE :   |   |
| ASSESSMENT / MISSION   | INITIAL  |   | X   |
|  | SURVEILLANCE   |   |   |
|  | COMPLEMENTARY /SUPPLEMENTARY   |   |   |
| <b>1. Summary:</b>   |  |   |   |
| <p>The audit report shows the results from the first ASC Trout Standard audit of AZIENDA AGRICOLA TROTICOLTURA EREDE ROSSI SILVIO DI ROSSI NICCOLA, on Sefro freshwater farm. The audit was performed in one day, for the farm audit and 9.6.2014 for SA8000 audit. The audit day was performed onsite on the freshwater farm with interviews of the employees. It was focused on all principle in ASC Freshwater Standard and social responsibility, review of documentation, processes and handling of equipment was examined. The interviews of the employees were performed on the farm, the interview was performed without interruption. The results of the audit are 9 minor non-conformities. They are documented and described in the non-conformity report</p> |  |   |   |
| <p>Sefro Freshwater farm, is a growin farm for trout until the trout is ready for slaughtering at about 300 grams up to 1 kg Sefro is a comune (municipality) in the Province of Macerata in the Italian region Marche, located about 70 kilometres southwest of Ancona and about 45 kilometres southwest of Macerata. Water inlet and outlet of water to Scarsito River</p>   |  |   |   |
| <b>3. Scope:</b>   |  |   |   |
| STANDARD   | ASC trout Standard Version 1.0 - February 2013   |   |   |
| Activity & scope of the audit:   | Activities at Sefro freshwater farm is farming of trout from fingerlings to 300 g to 1 kg, in rectangular concrete basins in a raceway system, using fresh water from river and freshwater pumped in from underground. Treatment of water in settlement basin. Fish are delivered from delivery basin directly to slaughter house at farm. Control of the fish as well as feeding, is managed at the farm. The farm has a building containing office and storage, The farm has an environmental approval from region Marche. |   |   |
| Species :  | Trout ( <i>Oncorhynchus mykiss</i> )   |   |   |
| Description of receiving water body :  | The farm is located in Macerata, region Marche. Water inlet and outlet of water to Scarsito River, outlet in Adriatic Sea  |   |   |

**4. Audit Plan:**

Desk reviews and other activities undertaken before or after any site visits.

Documentation review in

Stakeholder submissions, including written or other documented information and CAB written responses to each submission.

|  |  |  |
|--|--|--|
| Sites of the Company concerned by the ASC.<br><b>For each site show:</b> | Name of site :   | Sefro Freshwater Farm                                  |
|  | Adress :   | Via Madonna dei Calcinaï 2, Sefro (MC), Italy          |
|  | Contact :  | Francesca Pacioni                                      |
|  | Names and affiliations of individuals consulted or otherwise involved in the audit (representatives of the client, employees, contractors, stakeholders and any observers that participated in the audit): |  |
|  | Date & Duration of the visit :   | The audit on the site Sefro Freshwater Farm: 21.5.2014 |

**Previous Audits (if applicable):**

No ASC audit is performed in this site or in any other sites belonging to Erede Rossi Silvio

**5. Findings**

|              | PREVIOUS ASSESSMENTS REVIEW |                             |             | CURRENT ASSESSMENT CONCLUSION |                             |              |
|--------------|-----------------------------|-----------------------------|-------------|-------------------------------|-----------------------------|--------------|
|              | Number                      | NON-CONFORMANCES REFERENCES | Open/closed | Number                        | NON-CONFORMANCES REFERENCES | Open /closed |
| Observations | NA                          | NA                          | NA          |                               |                             |              |
| Minor NC     | NA                          | NA                          | NA          | 9                             |                             | close        |
| Major NC     | NA                          | NA                          | NA          |                               |                             |              |

Summary of Conditions :

**6. Evaluation Results:**

Sefro Freshwater Farm has a new and comprehensive quality system, which cover the whole organization from egg to finished slaughtered fish. The farm has a GlobalGAP, ISO 9001, ISO 14000 and Naturland valid certification. The audit was performed at Sefro Freshwater Farm. AZIENDA AGRICOLA TROTICOLTURA EREDE ROSSI SILVIO DI ROSSI NICCOLA, is a company showing enthusiasm towards their employees, concerning health, environment and safety, social requirements and danish laws. There was not during audit, found non-conformities regarding industrial accidents. The farm is managed with actual documentations and employees have long experience with farming of fish. During interview of employees was found that the work with ASC standard was met with a favorable reception. All documents and quality system was available for auditors.

**7. Non-conformity Report(s)***Please see non-conformity reports attached*

### **8. Determination of the start of the CoC**

It will be necessary with COC certification from the point where the direct control of the fish is changed. This happens when the fish is moved from the waiting ponds and are pumped into the slaughter house. The fish will at that time be covered by the COC - ASC Trout Standard for the slaughterhouse. The fish is held in the waiting ponds for 1 - 2 days. Then it will be pumped into the slaughterhouse sedated and bleeding before it is graded and further processed or directly packed. These operations are performed in the slaughterhouse belonging also to Erede Rossi Silvio

#### **Determination of the eligibility of aquaculture products to enter further Chains of Custody and the points at which they can enter**

Evaluation of the system of tracking, tracing and segregation in the aquaculture operation is sufficient to make sure all aquaculture products identified and sold as certified by the operation originate from the unit of certification certified

| Item   | Risk Level   |             |               | comments of the auditor and evidences                        |
|--|--|-------------|---------------|--|
|  | Low risk   | Medium risk | high risk     |  |
| 1. The tracking, tracing and segregation systems in use  |  | x           |               | Tracking system is manual registered                         |
| 2. The opportunity of substitution of certified with non-certified product prior to and at harvesting  | x  |             |               | There will be only certified fish at farm                    |
| 3. The possibility of introducing product from outside the unit of certification   | x  |             |               | There will be only certified fish at farm                    |
| 4. The robustness of the applicant or certificate holders' management system   | x  |             |               | Management system is robust, include all standards           |
| 5. Any transshipment activities taking place   | x  |             |               | The transport of fish is well known at Sefro Freshwater Farm |
| 6. Any subcontracted post-harvest handling or processing   | x  |             |               | The transport of fish is well known at Sefro Freshwater Farm |
| Advice of the auditor  | YES  | NO          | JUSTIFICATION |  |
| the systems are sufficient, aquaculture products from the operation may enter into further certified chains of custody and be eligible to carry the ASC label. | X  |             |               |  |
| <i>Describe points of change of ownership after which chain of custody certification is needed</i>   | From the point where the fish is landed into the cages to the slaughterhouse there is a change, where it will be necessary to have a CoC certification for |             |               |  |

#### **Confidential data for commercially sensitive information**

*This report do not contain confidential annexes for commercially sensitive information.*

*Bureau Veritas had been agree the content of commercially sensitive information with the applicant.*

#### **Signature - Approval**

Signature of the client

Signature of the auditor

**CERTIFICATION DECISION**

CERTIFIED

The company complies with general requirements of ASC standard

|   |   |
|---|---|
| Certification status of the applicant:    | BUREAU VERITAS CERTIFICATION determines that all the requirements of the standard are sufficiently met and has certified Sefro Freshwater Farm of AZIENDA AGRICOLA TROTICOLTURA EREDE ROSSI SILVIO DI ROSSI NICCOLA<br>A certificate has been issued for the scope specified in the section "scope" above in the report .<br>Any outstanding non-conformities and their status are listed in the section "Findings" above in the report |
| Date of issuing of Certificate:           | 30/09/2014  |
| Date of expiring:                         | 29/09/2017  |
| Scope of the certificate:                 | Aquaculture operation for Trout   |
| List of all outstanding non-conformities: | All NC are closed   |