

Overview of all current ASC Certification Standards Documents

V10.0

Purpose

To provide a controlled, up-to-date and clear overview of the current ASC Standards & Certification documents available for reference by the Conformity Assessment Bodies (CAB) and Accreditation Services International (ASI).

Background

The ASC certification scheme is defined by a range of documents and a progressive evolution of requirements in response to growth of the program and changes in international best practice. As a result, it is necessary to clearly control and manage a list of those documents comprising the scheme to understand the evolution of the scheme and to understand the standing and status of scheme documents. To further add to the transparency of the ASC scheme and to ensure a comprehensive definition of the ASC scheme, this list will be maintained into the future as the authoritative definition of what comprises the ASC scheme.

Format

This controlled document contains 5 tables listing documents that comprise the ASC Standards & Certification Documents.

Current version “Controlled overview list of ASC Certification Scheme Documents”

Version:	Effective date:
1.0	7 January 2013
2.0	10 February 2013
3.0	29 April 2013
4.0	18 March 2014
5.0	30 May 2014
6.0	21 July 2014
7.0	29 July 2014
8.0	01 August 2014

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9.0	27 August 2014
10.0	5 November 2014

Content

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Table 2: Label Licensing Documents

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Table 4: Governance Documents

Table 5: Superseded Documents

Table 1: Current Standards & Certification Documents¹⁾				
Document Title	Version	File Name	Effective Date²⁾	Initial Publication Date³⁾
1.1 General Documents				
ASC Farm Certification and Accreditation Requirements <i>This includes⁴⁾:</i> <ul style="list-style-type: none"> - <i>Form 1</i> – Request for Interpretation of Variance - <i>Form 2</i> – Request for documentation of non-conformities for audits to be conducted within twelve (12) months of the termination of a valid certificate - <i>Form 3</i> – Public Disclosure Form - <i>Form 4</i> – Log of Complaints, Concerns and Objections 	1.0	ASC Farm Certification and Accreditation Requirements_v1.0.pdf	13 th March 2012	13 th March 2012
ASC Quick Start Guide	1.0	ASC Quick Start Guide_v1.0.pdf	Nov 2012	Nov 2012

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ASC Quick Start Guide (German) ⁵⁾	1.0	ASC Quick Start Guide_German.pdf	Nov 2013	Nov 2013
ASC Quick Start Guide (Dutch) ⁵⁾	1.0	QSGuide_NL_def.pdf	Nov 2013	Nov 2013
ASC Quick Start Guide (Spanish) ⁵⁾	1.0	ASC Quick Start Guide_v1.0_Spanish.pdf	5 Nov 2014	5 Nov 2014
1.2 Species Specific Documents				
1.2.1 Abalone				
ASC Abalone Standard	1.0	ASC Abalone Standard_v1.0.pdf	Jan 2012	Jan 2012
ASC Abalone Audit Manual	1.0	ASC Abalone Audit Manual.pdf	29 Sept 2013	29 Sept 2013
ASC Abalone Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Abalone_v1.1.pdf	28 May 2014	28 May 2014
1.2.2 Bivalves				
ASC Bivalves Standard	1.0	ASC Bivalves Standard_v1.0.pdf	Jan 2012	Jan 2012
ASC Bivalves Audit Manual	1.0	ASC Bivalves Audit Manual_v1.0.pdf	29 Sept 2013	29 Sept 2013
ASC Bivalves Audit Manual (Spanish) ⁵⁾	1.0	ASC Bivalves Audit Manual_v1.0_Spanish.pdf	5 Nov 2014	5 Nov 2014
ASC Bivalves Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Bivalves_v1.1.pdf	28 May 2014	28 May 2014
1.2.3 Pangasius				
ASC Pangasius Standard	1.0	ASC Pangasius Standard_v1.0.pdf	Jan 2012	August 2010
ASC Pangasius Standard (Vietnamese) ⁵⁾	1.0	ASC Pangasius Standard_v1.0-Vietnamese.pdf	Jan 2012	Jan 2012
ASC Pangasius Audit Manual	1.0	ASC Pangasius Audit Manual_v1.0.pdf	April 2012	April 2012
ASC Pangasius Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Pangasius_v1.1.pdf	28 May 2014	2012
ASC Pangasius Better Management Practices	1.0	ASC Pangasius Better Management Practices_v1.0.pdf	2012	2012
ASC Pangasius Better Management Practices (Vietnamese) ⁵⁾	1.0	ASC Pangasius Better Management Practices_v1.0-Vietnamese.pdf	31 August 2012	31 August 2012

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1.2.4 Salmon				
ASC Salmon Standard	1.0	ASC Salmon Standard_v1.0.pdf	July 2012	June 2012
ASC Salmon Audit Manual	1.0	ASC Salmon Audit Manual_v1.0.pdf	29 April 2013	June 2012
ASC Salmon Audit Manual (Spanish) ⁵⁾	1.0	ASC Salmon Audit Manual_v1.0_Spanish.pdf	5 Nov 2014	5 Nov 2014
ASC Salmon Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Salmon_v1.1.pdf	28 May 2014	10 June 2012
1.2.5 Tilapia				
ASC Tilapia Standard	1.0	ASC Tilapia Standard_v1.0.pdf	Jan 2012	Dec 2009
ASC Tilapia Audit Manual	1.0	ASC Tilapia Audit Manual_v1.0.pdf	Jan 2012	Jan 2012
ASC Tilapia Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Tilapia_v1.1.pdf	28 May 2014	Jan 2012
ASC Tilapia Better Management Practices	1.0	ASC Tilapia Better Management Practices_v1.0.pdf	7 Jan 2011	7 Jan 2011
1.2.6 Freshwater Trout				
ASC Trout Standard	1.0	ASC Freshwater Trout Standard_v1.0.pdf	Feb 2013	Jan 2013
ASC Trout Standard	1.0	ASC Freshwater Trout Standard_v1.0_Danish.pdf	March 2013	March 2013
ASC Trout Audit Manual	1.0	ASC Freshwater Trout Manual.pdf	March 2013	March 2013
ASC Trout Audit Manual (Danish) ⁵⁾	1.0	ASC Freshwater Trout Audit Manual_v2.0_Danish.pdf	August 2014	August 2014
ASC Trout Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Trout_v1.1.pdf	28 May 2014	28 May 2014
1.2.7 Shrimp				
ASC Shrimp Standard	1.0	ASC Shrimp Standard_v1.0.pdf	March 2014	March 2014
ASC Shrimp Audit Manual	1.0	ASC Shrimp Audit Manual_v1.0.pdf	March 2014	March 2014
ASC Shrimp Audit Manual (Spanish) ⁵⁾	1.0	ASC Shrimp Audit Manual_v1.0_Spanish.pdf	5 Nov 2014	5 Nov 2014

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ASC Shrimp Audit Preparation Checklist	1.1	ASC_Audit Preparation Checklist_Shrimp_v1.1.pdf	May 2014	May 2014
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- 1) All mentioned documents are written in English, unless mentioned otherwise.
- 2) Effective date refers to the date upon which the respective document becomes mandatory. Note that effective date for non –mandatory guidance documents refers to the issue date of the current version.
- 3) Initial publication date refers to the date upon which version 1 of the respective requirement was first issued.
- 4) Requirements are mandatory and they are to be followed by all applicant and accredited Conformity Assessment Bodies (CABs)
- 5) If the content of translated documents differ from the original English version, the English version is leading.

Table 2: Logo Licensing Documents¹⁾

Document Title	Version	File Name	Effective Date ²⁾	Initial Publication Date ³⁾
2.1 General Documents				
ASC Logo User Guide	1.0	ASC_usersguide_def.pdf	April 2012	April 2012
ASC Logo Licensing Fee Guide	1.0	ASC Logo Licensing Fee_v1.0.pdf	April 2012	April 2012

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- 3) Initial publication date refers to the date upon which version 1 of the respective requirement was first issued.

Table 3: Complaints and objections procedures¹⁾

Document Title	Version	File Name	Effective Date ²⁾	Initial Publication Date ³⁾
3.1 General Documents				
ASC Complaints procedure	1.0	ASC Complaints Procedure_V1.0.pdf	July 2014	July 2014
ASC Objections procedure	1.0	<i>Under development</i>	-	-

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- 3) Initial publication date refers to the date upon which version 1 of the respective requirement was first issued.

Table 4: Governance documents¹⁾

Document Title	Version	File Name	Effective Date ²⁾	Initial Publication Date ³⁾
4.1 General Governance Documents				
Deed of the ASC Foundation	1.0	TEvdGA-100407 Deed Stichting ASC Foundation_English translation.pdf	7 April 2010	7 April 2010
Supervisory Board regulations	1.0	_var_www_asc2010_upload_20110421_Regulations_SB_posted_on_website.pdf	1 March 2011	21 April 2011
Executive Board regulations	1.0	_var_www_asc2010_upload_20110421_Regulations_SB_posted_on_website.pdf	1 April 2011	21 April 2011
Terms of Reference Technical Advisory Group (TAG)	1.0	ASC TAG TOR and Rules_v 1 0_20120927.pdf	27 September 2012	27 September 2012
ASC Whistle Blowing Policy	1.0	ASC Whistle-blower Policy_v1.0_FINAL_20120927.pdf	27 September 2012	27 September 2012
4.2 Group certification project				
Terms of reference	1.0	ToR_Pilot ASC Group Certification_20140521.pdf	21 May 2014	21 May 2014
4.3 Feed project				
Terms of reference	1.0	ToR_SC Feed Project_20130930.pdf	September 2013	September 2013
4.4 Harmonisation project				
Terms of reference	1.0	<i>Under development</i>	-	-

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Table 5: Superseded Documents¹⁾

Document Title	Version	File Name	Date of Issue ²⁾	Date superseded ³⁾
1.1 General Documents				
ASC Farm Certification and Accreditation Requirements <i>This include ⁴⁾s:</i> <ul style="list-style-type: none"> - <i>Form 1</i> – Request for Interpretation of Variance - <i>Form 2</i> – Request for documentation of non-conformities for audits to be conducted within twelve (12) months of the termination of a valid certificate - <i>Form 3</i> – Public Disclosure Form - <i>Form 4</i> – Log of Complaints, Concerns and Objections 	-	-	-	-
1.2 Species Specific				
1.2.1 Abalone				
ASC Abalone Standard	-	-	-	-
ASC Abalone Audit Manual	-	-	-	-
ASC Abalone Audit Preparation Checklist	-	-	-	-
1.2.2 Bivalves				
ASC Bivalves Standard	-	-	-	-
ASC Bivalves Audit Manual	-	-	-	-
ASC Bivalves Audit Preparation Checklist	-	-	-	-
1.2.3 Pangasius				
ASC Pangasius Standard	-	-	-	-

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ASC Pangasius Standard (<i>in Vietnamese</i>) ⁵⁾	-	-	-	-
ASC Pangasius Audit Manual	-	-	-	-
ASC Pangasius Pre-Audit Checklist	1.0	ASC Pangasius Pre-audit Checklist_v1.0.pdf	2012	28 May 2014
ASC Pangasius Compliance Checklist	-	-	-	-
ASC Pangasius Better Management Practices	-	-	-	-
ASC Pangasius Better Management Practices (<i>in Vietnamese</i>) ⁵⁾	-	-	-	-
1.2.4 Salmon				
ASC Salmon Standard	-	-	-	-
ASC Salmon Audit Manual	-	-	-	-
ASC Salmon Pre-audit Checklist	1.0	ASC Salmon Pre-audit Checklist_draft.pdf	10 June 2012	28 May 2014
1.2.5 Tilapia				
ASC Tilapia Standard	-	-	-	-
ASC Tilapia Audit Manual	-	-	-	-
ASC Tilapia Pre-Audit Checklist	1.0	ASC Tilapia Pre-audit Checklist_v1.0.pdf	Jan 2012	28 May 2014
ASC Tilapia Better Management Practices	-	-	-	-
1.2.6 Trout				
ASC Trout Standard	-	-	-	-
ASC Trout Audit Manual	-	-	-	-
ASC Trout Audit Preparation Checklist	-	-	-	-
1.2.7 Shrimp				
ASC Shrimp Standard	-	-	-	-
ASC Shrimp Audit Manual	-	-	-	-
ASC Shrimp Audit Preparation Checklist	-	-	-	-

2.1 Logo Licensing Documents				
ASC Logo User Guide	-	-	-	-
ASC Logo Licensing Fee Guide	-	-	-	-
3.1 Complaints and objections procedures				
ASC Complaints procedure	-	-	-	-
ASC Objections procedure	-	-	-	-
4.1 General Governance Documents				
Dead of the ASC Foundation	-	-	-	-
Supervisory Board regulations	-	-	-	-
Executive Board regulations	-	-	-	-
Terms of Reference Technical Advisory Group (TAG)	-	-	-	-
ASC Whistle Blowing Policy	-	-	-	-
4.2 Group certification project				
Terms of reference	-	-	-	-
4.3 Feed project				
Terms of reference	-	-	-	-
4.4 Harmonisation project				
Terms of reference	-	-	-	-

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