

Aquaculture Stewardship Council and Marine Stewardship Council

ASC-MSC Seaweed (Algae) Audit Announcement Template
[Surveillance]

Version 1.0 (Issued 8 December 2017)



Aquaculture
Stewardship
Council



Scheme documents:

ASC-MSC Seaweed (Algae) Standard

ASC-MSC Seaweed (Algae) Certification and Accreditation Requirements

This document is to be cited as:

ASC-MSC Seaweed (Algae) Audit Announcement Template v1.0

Versions issued

Version no.	Date	Description of amendment
1.0	8-Dec-17	N/A - new document



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Instructions for using the ASC-MSC Seaweed (Algae) Audit Announcement Template

This template details the information required from conformity assessment bodies (CABs) when formally announcing the entry of a production unit into assessment, re-assessment or surveillance, which will be published on the ASC-MSC website. The template should be submitted by email, together with:

1. Completed client document checklist, and
2. Pre-audit report (if one exists and was written by the CAB doing full audit).

Please complete all unshaded fields. Where instructions are included in brackets or *italics*, please delete and replace with your specific information.

Corporate branding

The production unit Audit Announcement Template may be formatted to comply with the CAB corporate identity. It is the structure and content of the template sections below that must be followed.

Examples of appropriate amendments are:

- a. A company header used throughout the report.
- b. A company footer replacing the current ASC-MSC footer.
- c. Inclusion of contact details for the assessment team members in relation to consultation.
- d. Deletion of the instruction tab.

Production unit details

Name of the production unit	Yaeyama Shokusan Co.,Ltd.
Target species common name/s	euglena Chlorella
Species Latin name	Euglena spp. Chlorella spp.
Production system	Onshore aquaculture/ artificial seedlings
Location of the production unit	287-14 Shiraho Ishigaki-city Okinawa 907-0242 Japan
Stock Region	Not applicable as this is category Cii. No wild stock is used.
Receiving water body	Shiraho Sea area, Ishigaki Island, Okinawa
Clients part of the production unit	Mituru Izumo (president of euglena Co.,Ltd.)
Facilities	Culture equipment, drying machine, separator, refrigerator
Unit of Certification (if different)	UoC is the same as UoA.
Harvest season	all season
Management system	our company control and check process of aquaculture by measured value. Aquaculture process is seed culture, culture, washing, separation, condensation, cooling, blanching, drying, packaging.
Seaweed category	Cii. Cultivation entirely in land-based systems. Supply of seed from wild stocks NOT required.
Statement that the production unit is within scope	<p>A clear statement from the CAB that the fishery entering assessment meets the scope requirements in Section 2 of the Seaweed Standard.</p> <p>Harvesting or farming activities are only eligible for certification if the target species is a seaweed. <i>The target species are Euglena spp. and Chlorella spp., both are algae species.</i> The Standard applies globally to all locations and scales of operations, including both harvesting of wild stocks and production from aquaculture systems. <i>This is production from aquaculture systems.</i></p> <p>The Standard applies to seaweed production units only. Other production units in scope for assessment under the existing ASC or MSC standards are in no way affected by the Seaweed Standard. <i>Only Euglena and Chlorella are included in the production units.</i></p> <p>Harvesting or farming activities involving the introduction of alien (i.e. non-native) species are not eligible for certification, unless: <i>Euglena and Chlorella are not alien species.</i></p> <p>The introduction occurred at least 20 years prior to the date the application is made for assessment against the Seaweed Standard, or</p> <p>The alien species is cultured in on-land facilities that are completely separated from the aquatic environment.</p> <p>Organisations seeking certification shall have been in operation for at least 12 months, or one harvest cycle, whichever is less. <i>Production of Chlorella has been in operation for more than 40 years, and Euglena for more than 10 years.</i></p> <p>Organisations seeking certification shall have available records of performance data covering the periods of time specified in the Standard. <i>The company has enough data records.</i></p> <p>Harvesting or farming activities which use mutagenic, carcinogenic or teratogenic pesticides, or any other chemicals that persist as toxins in the marine environment or on the farm or farmed seaweeds, are not eligible for certification <i>The company did not use any toxic chemicals.</i></p>
Production unit operators	Ryohei Nakano (In cases where the UoA and UoC include a number of vessels/ farms)
Information to identify Micro family business	This is not Micro family business.
Harvest/production data	about 100 ton /year of Euglena and about 100 ton /year of Chlorella
History of the Production unit	Yaeyama Shokusan Co.,Ltd from 1975. our company built more 13 culture pool in1984.
Other production unit in the area	None (A description of other production units in the vicinity not subject to the certification that may interact with the fishery being assessed)
Main commercial market	consumer market of healthy food and cosmetics
Any other information the CAB wishes to provide (including any specific issues within the production unit that need to be	This field is optional.

Audit details

Conformity Assessment Body (CAB)	Name of the CAB: AMITA Corporation
	Name of assessment team leader : Naoya Ogawa
	Name of first point of contact for the assessment (if different to the assessment team leader).: same as above
	Email address: ninsho@amita-net.co.jp
	Phone Number: +81-3-5215-8326
	Mailing address: 3-2-4 Kudankita, Chiyoda-ku, Tokyo, 102-0073 Japan
Client	euglena Co.,Ltd. (Name of company)
	Yuta Asayama (Name of first point of contact for the assessment (if different to the assessment team leader))
	Manager, Production Technology Development Department Quality Assurance Division (Position in the client organisation)
	asayama@euglena.jp
	090-6587-4027
	5-29-11 Shiba,minato-ku,TOKYO, 105-0014 japan
Type of audit	Surveillance
Estimated length of the audit and timeline	Site visit: 13th March Surveillance Report: 12th May
Team Leader	Naoya Ogawa: He will be the Team Leader and will lead the audit. He is a Professional Engineer of Environment. He has been a ASC farm certification auditor for three years and has experience of ASC farm audit more than ten. He completed ASC-MSC seaweed auditor training. He will also be a social auditor. He participated the social audit of ASC more than five and completed SA8000 advanced course training. He has no conflicts of interest in relation to the production unit under assessment.
Proposed assessors	Watal Koketsu was selected as Technical expert in accordance with the technical expertise and qualifications of the ASC-MSC seaweed standard requirements. His undergraduate graduation thesis is biodiversity of algae, and His master's thesis is statistical analysis of freshwater fish disease and water quality. He has a master's degree in Fishery and Wildlife and more than five years of experience in biological research and analysis of algae, fish, benthic organisms, water quality and vegetation, as well as environmental conservation. He has participated the ASC-MSC Seaweed and Chain of Custody Certification Training in 2019.
Site visit Date	13th March 2020
Location and date of site visit	Production site: 24.34653 N, 124.235802 E on 13th March 2020
Stakeholders	Company staff, Stockholder, consumer, processing company, logistics provider, local people, researchers
Risk Based Framework	RBF will not be used.
Invitation for stakeholders	"A key purpose of the site visit is to collect information and speak to stakeholders with an interest in the production unit. For those parts of the assessment involving the Risk-Based Framework (RBF) we will be using a stakeholder-driven, qualitative and semi-quantitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the production unit. We encourage any stakeholders with experience or knowledge of the production unit to participate in these meetings."

Stakeholders that do not wish or are not able to be interviewed at the site visit may send written information to the team. [CAR, 17.6.6.1]

Chain of Custody information

Identify if the following risks are currently or potentially applicable	Description of risk factor if present.	Describe any traceability, segregation, or other systems in place to manage the risk.
a. The possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance or species, produced within the same UoA.	There is no risk because only certified algae is to be produced within the UoA.	Only certified algae is to be produced within the UoA.
b. The possibility of mixing or substitution of certified and non-certified product, type of production/harvest, including product of the same or similar appearance or species, present during production, harvest, transport, storage, or processing activities.	Produced Euglena and Chlorella is dried and stored at the same facilities and transported to other outsourced factories in other regions to make final products. Then there may be a risk at ousourced factories.	Only certified algae is to be dried and stored within the UoA after harvest. Euglena and subcontractors has been CoC certified.
c. The possibility of subcontractors being used to handle, transport, store, or process certified products.	Dried Euglena and Chlorella are processed to be final product at outsourced factories.	The company and subcontractors has been CoC certified.
d. Any other oppotunities where certified product could potentially be mixed, substituted, or mislabelled with non-certified product before the point where product enters the Chain of Custody.	No oppotunities	No oppotunities

Naoya OGAWA

A. PERSONAL DATA

1. Organization: Contract auditor of AMITA Corporation
2. Office Address: Higobashi Centre Bldg., 1-9-1, Edobori, Nishi-ku, Osaka-shi, Osaka, 550-0002 Japan
Phone No.: +81-6-7654-6882
Fax No.: +81-6-6444-0690
3. E-mail: nogawa@amita-net.co.jp

B. WORK EXPERIENCE

- Apr. 2019 – present Contract auditor of AMITA Corporation
Apr. 2002 – Apr. 2019 AMITA Corporation, Japan
- Aquaculture Stewardship Council (ASC) certification auditor for Farming
 - ASC-MSC (Marine Stewardship Council) Seaweed (Algae) certification auditor
 - Marine Stewardship Council (MSC) certification auditor for Chain of Custody (COC)
 - Aquaculture Stewardship Council (ASC) certification auditor for Chain of Custody (COC)
 - Forest Stewardship Council (FSC) certification auditor for Forest Management (FM) and Chain of Custody (COC)
 - Programme for the Endorsement of Forest Certification (PEFC) auditor for Chain of Custody (COC)

Work Contents

- Audit** – - More than 10 experience of ASC farm audit in Japan for oyster, seriola and salmon farms
- More than 30 experience of MSC/ASC COC audit for various kinds of companies such as processors, distributors, traders, etc.
 - More than 100 experience of FSC FM audit for various forests in Japan, such as plantation and natural forest managed by companies, forestry associations, cities, towns and villages, etc. from about 100ha to 143,000ha.
 - More than 200 experience of FSC COC audit for various kinds of companies and organisations such as sawmills, woodwork factories, furniture factories, house builders, log markets, paper mills, printing companies, distributors, etc.
 - Write reports both in English and Japanese.
- Promotion** – Organise FSC FM workshops for forest managers, ASC farm workshops for farm managers and FSC and MSC/ASC COC workshops for people who wish to be certified. Instruct participants at the workshops as an instructor. Create documents and presentation materials.

C. EDUCATION

- Dec 2017 SA8000 Advanced Auditor Course
Mar 2017 SA8000 Introduction and Basic Auditor Training Course
May 2007 ISO 9000 Auditor / Lead Auditor Training Course (IRCA 1197759)
March 2002 M.S. in Agricultural and Life Sciences, the University of Tokyo, Japan
March 2000 B.S. in Agriculture, the University of Tokyo, Japan
Major: Ecology

D. Qualifications

Professional Engineer in Environment Section

Curriculum Vitae of Wataru KOKETSU

Contact: Higobashi Center Bldg., 1-9-1 Edobori
Nishi-ku, Osaka-shi, Osaka 555-0002 JAPAN
TEL: +81-6-7654-6882 e-mail : wkoketsu@amita-net.co.jp

■ Academy

1997-2001 bachelor :

Ehime uni. College of Agriculture dept of ecosystem conservation

Seasonal species and productive change of freshwater phytoplankton and coastal zooplankton

Degree: Bachelor of Agriculture

2002-2004 master:

Oregon State University College of Agricultural science dept of fisheries and wildlife

Degree: Master of Fisheries Science

■ Business

2004-2005

Research assistant: USGS Columbia river salmon migration survey, Oregon USA

Research assistant: USFS Volcano National park forest fire response survey, Hawaii USA

2005-2006

Research and farming: J Wing Farm co.,

Rice and wheat product

2006-2011

Ecological engineer: OYO co.,

Environmental technical consultant

Population survey of native fish, Vegetation survey of native forest, Environmental assessment,

River and coastal fish habitat restoration, Elimination methodology of invasive freshwater fish.

2007-2013

Manager: NPO trainee invitation association

Establishing Organic Agricultural Training Center in Vietnam, JICA project

2011-present

Consultant: AMITA

Recycling, Environmental Solution, developing sustainable society

Supported FSC, MSC certification team, completed IRCA and JRCA training program

■ Training

May 2019 ISO 9000 Auditor / Lead Auditor Training Course (A18124)

Oct. 2019 ASC-MSC Seaweed and Chain of Custody Certification Training