

Aquaculture Stewardship Council and Marine Stewardship Council

ASC-MSC Seaweed (Algae) Audit Announcement Template
[Re-certification]

Version 1.0 (Issued 8 December 2017)



Aquaculture
Stewardship
Council



Scheme documents:

ASC-MSC Seaweed (Algae) Standard

ASC-MSC Seaweed (Algae) Certification and Accreditation Requirements

This document is to be cited as:

ASC-MSC Seaweed (Algae) Audit Announcement Template v1.0

Versions issued

Version no.	Date	Description of amendment
1.0	08-12-17	N/A - new document



Instructions for using the ASC-MSC Seaweed (Algae) Audit Announcement Template

This template details the information required from conformity assessment bodies (CABs) when formally announcing the entry of a production unit into assessment, re-assessment or surveillance, which will be published on the ASC-MSC website. The template should be submitted by email, together with:

1. Completed client document checklist, and
2. Pre-audit report (if one exists and was written by the CAB doing full audit).

Please complete all unshaded fields. Where instructions are included in brackets or *italics*, please delete and replace with your specific information.

Corporate branding

The production unit Audit Announcement Template may be formatted to comply with the CAB corporate identity. It is the structure and content of the template sections below that must be followed.

Examples of appropriate amendments are:

- a. A company header used throughout the report.
- b. A company footer replacing the current ASC-MSC footer.
- c. Inclusion of contact details for the assessment team members in relation to consultation.
- d. Deletion of the instruction tab.

Production unit details

Name of the production unit	<i>Yaeyama Shokusan Co.,Ltd.</i>
Target species common name/s	<i>euglena Chlorella</i>
Species Latin name	<i>Euglena gracilis Chlorella sorokiniana</i>
Production system	<i>Onshore aquaculture/ artificial seedlings</i>
Location of the production unit	<i>287-14 Shiraho Ishigaki-city Okinawa 907-0242 Japan</i>
Stock Region	<i>Not applicable as this is category Cii. No wild stock is used.</i>
Receiving water body	<i>Shiraho Sea area, Ishigaki Island, Okinawa</i>
Clients part of the production unit	<i>Yaeyama Shokusan Co.,Ltd</i>
Facilities	<i>Incubators, culture tanks, culture pools, separators, drying machines, packing machines, refrigerators, warehouses, boilers</i>
Unit of Certification (if different)	<i>UoC is the same as UoA.</i>
Harvest season	<i>all season</i>
Management system	<i>our company control and check process of aquaculture by measured value. Aquaculture process is seed culture, culture, washing, separation, condensation, cooling, blanching, drying, packaging.</i>
Seaweed category	<i>Cii. Cultivation entirely in land-based systems. Supply of seed from wild stocks NOT required.</i>
Statement that the production unit is within scope	<i>The target species are Euglena gracilis and Chlorella sorokiniana, both are algae species. The target operation is production from the outdoor open cultivation system. The UoA does not produce anything other than algae (Only Euglena and Chlorella are included in the production units.). Euglena and Chlorella are not alien species. Production of Chlorella has been in operation for more than 40 years, and Euglena for more than 10 years. The applicant is certified for ASC-MSC seaweed algae certification from 2019 onwards, and the applicant has sufficient performance data to cover the period specified in the standard. The applicant does not use toxic chemicals.</i>
Production unit operators	<i>N/A</i>
Information to identify Micro family business	<i>This is not Micro family business.</i>
Harvest/production data	<i>Maximum production capacity: 140 tons of chlorella and 160 tons of euglena per year</i>
History of the Production unit	<i>Yaeyama Shokusan Co.,Ltd from 1975. our company built more 13 culture pool in 1984. ASC-MSC seaweed (algae) certified since 2019.</i>
Other production unit in the area	<i>None (A description of other production units in the vicinity not subject to the certification that may interact with the fishery being assessed)</i>
Main commercial market	<i>consumer market of healthy food and cosmetics</i>
Any other information the CAB wishes to provide (including any specific issues within the production unit that need to be highlighted).	<i>This field is optional.</i>

Audit details

Conformity Assessment Body (CAB)	Name of the CAB: AMITA Corporation
	Name of assessment team leader : Naoya Ogawa
	Name of first point of contact for the assessment (if different to the assessment team leader).: same as above
	Email address: ninsho@amita-net.co.jp
	Phone Number: +81-3-5296-9372
	Mailing address: 3-6-7, Kanda Kaji-machi, Chiyoda-ku, Tokyo 101-0045, Japan
Client	euglena Co.,Ltd. (Name of company)
	Yuta Asayama (Name of first point of contact for the assessment (if different to the assessment team leader))
	Corporate Strategy Department, Corporate Planning Division (Position in the client organisation)
	asayama@euglena.jp
	090-6587-4027
	5-29-11 Shiba,minato-ku,TOKYO, 105-0014 japan
Type of audit	Re-certification
Estimated length of the audit and timeline	Site visit: 11-12th Jan. 2022 Public Comment Draft Report: 28th Feb.April 2022 Final Report: 11th April 2022 Public Certification Report and Certificate issue: 23rd April 2022
Team Leader	Naoya Ogawa: He will be the Team Leader and will lead the audit. He is a Environmental science expert. He has been a ASC farm certification auditor for five years and has experience of ASC farm audit more than 30. He completed ASC-MSC seaweed auditor training. He will also be a social auditor. He participated the social audit of ASC more than 20 and completed SA8000 advanced course training. He has no conflicts of interest in relation to the production unit under assessment.
Proposed assessors	Watal Koketsu was selected as Technical expert in accordance with the technical expertise and qualifications of the ASC-MSC seaweed standard requirements. His undergraduate graduation thesis is biodiversity of algae, and His master's thesis is statistical analysis of freshwater fish disease and water quality. He has a master's degree in Fishery and Wildlife and more than five years of experience in biological research and analysis of algae, fish, benthic organisms, water quality and vegetation, as well as environmental conservation. He has participated the ASC-MSC Seaweed and Chain of Custody Certification Training in 2019.
Site visit Date	11-12th Jan. 2022
Location and date of site visit	Production site: 24.34653 N, 124.235802 E on 11-12th Jan. 2022
Stakeholders	Company staff, Stockholder, consumer, local people, researchers
Risk Based Framework	RBF will not be used.
Invitation for stakeholders	"A key purpose of the site visit is to collect information and speak to stakeholders with an interest in the production unit. For those parts of the assessment involving the Risk-Based Framework (RBF) we will be using a stakeholder-driven, qualitative and semi-quantitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the production unit. We encourage any stakeholders with experience or knowledge of the production unit to participate in these meetings."

Stakeholders that do not wish or are not able to be interviewed at the site visit may send written information to the team. [CAR, 17.6.6.1]

Chain of Custody information

Identify if the following risks are currently or potentially applicable	Description of risk factor if present.	Describe any traceability, segregation, or other systems in place to manage the risk.
a. The possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance or species, produced within the same UoA.	There is no risk because only certified algae is to be produced within the UoA.	Only certified algae is to be produced within the UoA.
b. The possibility of mixing or substitution of certified and non-certified product, type of production/harvest, including product of the same or similar appearance or species, present during production, harvest, transport, storage, or processing activities.	Produced Euglena and Chlorella is dried and stored at the same facilities. There is a separate potential risk when processing operations are carried out in outsourced factories.	Only certified algae is to be dried and stored within the UoA after harvest. If processing is done in an outsourced factory, the processing factory must be independently CoC certified.
c. The possibility of subcontractors being used to handle, transport, store, or process certified products.	Dried Euglena and Chlorella are processed to be final product at outsourced factories, but currently, the product is not manufactured and sold as a certified product.	Outsourced factories will undergo independent CoC certification audits where necessary.
d. Any other opportunities where certified product could potentially be mixed, substituted, or mislabelled with non-certified product before the point where product enters the Chain of Custody.	No opportunities	No opportunities

CVs of audit team

1st Dec 2021

Naoya OGAWA

A. PERSONAL DATA

1. Organization: Contract auditor of AMITA Corporation
2. Office Address: Higobashi Centre Bldg., 1-9-1, Edobori, Nishi-ku, Osaka-shi, Osaka, 550-0002 Japan
Phone No.: +81-6-7654-6882
Fax No.: +81-6-6444-0690
3. E-mail: ninsho@amita-net.co.jp

B. WORK EXPERIENCE

- May 2019 - present Contract auditor of AMITA Corporation
- Apr. 2002 - Apr. 2019 AMITA Corporation, Japan
- Aquaculture Stewardship Council (ASC) certification auditor for Farming
 - Marine Stewardship Council (MSC) certification auditor for Chain of Custody (COC)
 - Forest Stewardship Council (FSC) certification auditor for Forest Management (FM) and Chain of Custody (COC)
 - Programme for the Endorsement of Forest Certification (PEFC) auditor for Chain of Custody (COC)

Work Contents

- Audit** -
- More than 30 experience of ASC farm audit in Japan for oyster, seriola, salmon, seabream and algae farms
 - More than 30 experience of MSC/ASC COC audit for various kinds of companies such as processors, distributors, traders, etc.
 - More than 100 experience of FSC FM audit for various forests in Japan, such as plantation and natural forest managed by companies, forestry associations, cities, towns and villages, etc. from about 100ha to 143,000ha.
 - More than 200 experience of FSC COC audit for various kinds of companies and organisations such as sawmills, woodwork factories, furniture factories, house builders, log markets, paper mills, printing companies, distributors, etc.
 - Write reports both in English and Japanese.
- Promotion** -
- Organise FSC FM workshops for forest managers, ASC farm workshops for farm managers and FSC and MSC/ASC COC workshops for people who wish to be certified. Instruct participants at the workshops as an instructor. Create documents and presentation materials.

C. EDUCATION

- Dec 2017 SA8000 Advanced Auditor Course
- Mar 2017 SA8000 Introduction and Basic Auditor Training Course
- May 2007 ISO 9000 Auditor / Lead Auditor Training Course (IRCA 1197759)
- March 2002 M.S. in Agricultural and Life Sciences, the University of Tokyo, Japan
- March 2000 B.S. in Agriculture, the University of Tokyo, Japan
- Major: Ecology

D. Qualifications

Professional Engineer in Environment Section

Curriculum Vitae of Wataru KOKETSU

Contact: Higobashi Center Bldg., 1-9-1 Edobori
Nishi-ku, Osaka-shi, Osaka 555-0002 JAPAN
TEL: +81-6-7654-6882 e-mail : wkoketsu@amita-net.co.jp

■ Academy

1997-2001 bachelor:
Ehime uni. College of Agriculture dept of ecosystem conservation
Seasonal species and productive change of freshwater phytoplankton and coastal zooplankton
Degree: Bachelor of Agriculture
2002-2004 master:
Oregon State University College of Agricultural science dept of fisheries and wildlife
Degree: Master of Fisheries Science

■ Business

2004-2005
Research assistant: USGS Columbia river salmon migration survey, Oregon USA
Research assistant: USFS Volcano National park forest fire response survey, Hawaii USA

2005-2006
Research and farming: J Wing Farm co.,
Rice and wheat product

2006-2011
Ecological engineer: OYO co.,
Environmental technical consultant
Population survey of native fish, Vegetation survey of native forest, Environmental assessment,
River and coastal fish habitat restoration, Elimination methodology of invasive freshwater fish.

2007-2013
Manager: NPO trainee invitation association
Establishing Organic Agricultural Training Center in Vietnam, JICA project

2011-present
Consultant: AMITA
Recycling, Environmental Solution, developing sustainable society
Supported FSC, MSC certification team, completed IRCA and JRCA training program

■ Training

May 2019 ISO 9000 Auditor / Lead Auditor Training Course (A18124)
Oct. 2019 ASC-MSC Seaweed and Chain of Custody Certification Training