

- F5a) This Form can only be submitted by CA
- F5b) This form is to be submitted no less than 10 working days before the audit
- F5c) One form per certificate needs to be submitted

Cancelled and failed audit:

- An audit or certification process can be cancelled
- A client can fail its audit because of many reasons
- An audit report is submitted to ASC, which is then published on the website

Cancellation, Suspension or Withdrawal of

- In cases where an audit led to one of the following:
- Cancelled certificate: The certificate holder has failed the audit
 - Suspended certificate: The CAB has temporary failed the audit
 - Withdrawn certificate: The CAB has ended the audit

NB: All fields are mandatory to fill out, including the audit report.
The original excel file and a PDF of the offline form 5 will be published on ASC's website

Email address

Reason submission form 5

The Submission is related to which audit?
(Initial, Surveillance 1 etc.)

Name of the CAB

Name of CAB contact person

Phone number of CAB contact person

Description of other actions

Description of reasons for actions

Name of client

Affected sites

Certificate number

Address of client

Additional comments

Date of action

Date of submission to ASC

ASC's accredited for ASC farm audits and CAB's which are in the accreditation process.
within five (5) working days of the action taken by the CAB.
submitted.

Cancelled at any time, the decision for audit cancellation can either come from a CAB or from ASC.
Reasons, like not being able to close major NC's or being unable to comply with the standards.

Failure of a certificate.

Following decisions, the CAB shall submit the audit report to ASC, which is then published;
if the CAB has decided to leave the program and cancel their certificate
ASC has rarely suspended the farm certificate because of compliance or administrative reasons
ASC has cancelled a certificate indefinitely because of compliance or administrative reasons. To become eligible again, the farm must re-apply for a certificate.

Incomplete forms are not accepted.

**Latest version of Form 5 needs to be submitted to ASC
if cancelled.**

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Cancellation of an audit

Surveillance audit

DNV Business Assurance Norway AS

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Cancellation of a certificate

All 11 farms have been certified. However, the 8 farms listed in Affected sites column have
Therefore, only 3 farms will be remained on the certificate. They are

Mr. Jong-Hun CHO's Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / Process
Mr. Yo-Hwan KIM's Farm (39-1, Yongchul-gil, Saengil-myeon, Wando-gun, Jeollanam-do,
Mr. Su-Han CHOI's Farm (34°19'13"N 126°57'11"E / 34°18'29"N 126°57'28"E) / Processi

Wando Eco-friendly Seafood Cooperative (WESC)

- 1) Mr. Seong-Uk LEE's Farm (34°19'47"N 126°54'38"E)/ Processing place (8-20, Sinji-ro 12
신지로1281번길 8-20, 34°19'56.6"N 126°52'51.5"E)
- 2) Mr. Young-Taek JI's Farm (34°17'04"N 126°52'15"E / 34°17'17"N 126°52'01"E) / Proce
신지면 신지로 953, 34°19'10.7"N 126°51'23.4"E)
- 3) Mr. Yong-Jin CHOI's Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / Proce
(대한민국 전라남도 완도군 신지면 신지로 1065-3, 34°19'22.6"N 126°51'56.4"E)
- 4) Mr. Ho-Yeon JEONG's Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) (140-
전라남도 완도군 생일면 생일로 140-47, 34°18'52.0"N 126°59'50.2"E)
- 5) Mr. Nam-Il KIM's Farm (34°18'14"N 126°58'59"E / 34°18'15"N 126°59'18"E / 34°18'10
34°18'15"N 126°59'18"E / 34°18'10"N 126°58'48"E) / 가공장 (대한민국 전라남도 완도
6) Mr. Ju-Bin AN's Farm (34°23'22.2"N 127°03'26.7"E) / Processing place (17-1, Hahwaje
1, 34°21'17.1"N 127°01'07.6"E)
- 7) Mr. Do-Hyung CHAE's Farm (34°24'10.1"N 127°00'16.3"E / 34°23'49.8"N 127°00'11.2"
(34°24'10.1"N 127°00'16.3"E / 34°23'49.8"N 127°00'11.2"E / 34°23'17.4"N 127°01'01.6"
8) Mr. Kyung-Yeol PARK's Farm (34°19'41.3"N 127°01'42.7"E)/ Processing place (4-26, Si
4-26, 34°20'43.9"N 127°02'31.2"E)

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Room 201, Corporate Supporting Building Marine Biotechnology Research Center, Wand
As a result of removing farms from the certificate, the certification scope will be reducec

05.05.2022

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in a client.

ard. In both cases the CAB has to submit Form 5 to ASC for publication. In case of a failed

certified again the farm has to undergo the initial audit process anew

ve decided to stop certification due to no perceived value from the certification.

ing place (975, Sinji-ro, Sinji-myeon, Wando-gun, Jeollanam-do, Republic of Korea)
Republic of Korea) 김요환 양식장 (대한민국 전라남도 완도군 생일면 용출길 39-1, 34
ng place (15-2, Geumgok 1-gil, Saengil-myeon, Wando-gun, Jeollanam-do, Republic of Ko

281beon-gil, Sinji-myeon, Wando-gun, Jeollanam-do, Republic of Korea) 이성욱 양식장 (

ssing place (953, Sinji-ro, Sinji-myeon, Wando-gun, Jeollanam-do, Republic of Korea) 지은

ssing place (1065-3, Sinji-ro, Sinji-myeon, Wando-gun, Jeollanam-do, Republic of Korea) :

-47, Saengil-ro, Saengil-myeon, Wando-gun, Jeollanam-do, Republic of Korea) 정호연 양식

"N 126°58'48"E) / Processing place (146, Dongsongyonghang-gil, Geumil-eup, Wando-gun
군 금일읍 동송용항길 146, 34°21'03.0"N 127°03'38.6"E)

on 1-gil, Geumil-eup, Wando-gun, Jeollanam-do, Republic of Korea) 안주빈 양식장 (34°2

E / 34°23'17.4"N 127°01'01.6"E / 34°22'48.9"N 127°00'56.0"E) / Processing place (9, Che
E / 34°22'48.9"N 127°00'56.0"E) / 가공장 (대한민국 전라남도 완도군 금일읍 척치길 9
npyeong 1-gil, Geumil-eup, Wando-gun, Jeollanam-do, Republic of Korea) 박경열 양식장

o-gun, Jeollanam-do, Republic of Korea

l to Production of Saccharina Japonica(Kelp) from Production of Hizikia Fusiformis(Hiziki)

audit the CAB shall submit the

34°18'41.8"N 126°59'12.6"E)
area)

34°19'47"N 126°54'38"E) / 가공장(대한민국 전라남도 완도군 신지면

양식장 (34°17'04"N 126°52'15"E / 34°17'17"N 126°52'01"E) / 가공장 (전남 완도군

최용진 양식장 (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / 가공장

양식장 (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / 가공장 (대한민국

n, Jeollanam-do, Republic of Korea) 김남일 양식장 (34°18'14"N 126°58'59"E /

34°18'22.2"N 127°03'26.7"E) / 가공장 (대한민국 전라남도 완도군 금일읍 하화전1길 17-

양식장 (34°18'22.2"N 127°03'26.7"E) / 가공장 (대한민국 전라남도 완도군 금일읍 하화전1길 17-

양식장 (34°21'40.0"N 127°01'33.2"E)

양식장 (34°19'41.3"N 127°01'42.7"E) / 가공장 (대한민국 전라남도 완도군 금일읍 신평1길

and Saccharina Japonica(Kelp).