Form 5 - Cancellation and failure of an audit. Cancellation, Suspension or Withdrawal of a certificate

_F5a) This Form can only be submitted by CAB's accredited for ASC farm audits and CAB's which are in the accreditation process
_F5b) This form is to be submitted no less than five (5) working days of the action taken by the CAB.
_F5c) One form per certificate needs to be submitted.

Cancelled and failed audit:
- An audit or certification process can be cancelled at any time, the decision for audit cancellation can either come from a CA
- A client can fail its audit because of many reasons, like not being able to close major NC’s or being unable to comply with the audit report to ASC, which is then published.

Cancellation, Suspension or Withdrawal of a certificate:
In cases where an audit led to one of the following decisions, the CAB shall submit the audit report to ASC, which is then published:
- Cancelled certificate: The certificate holder has decided to leave the program and cancel their certificate
- Suspended certificate: The CAB has temporarily suspended the farm certificate due to compliance or administrative reasons
- Withdrawn certificate: The CAB has ended a certificate indefinitely because of compliance or administrative reasons. To become

NB: All fields are mandatory to fill out, incomplete forms are not accepted.

The original excel file and a PDF of the offline version of Form 5 needs to be submitted to ASC. All form 5 will be published on ASC’s website.

Email address
Reason submission form 5
The Submission is related to which audit? (Intial, Surveillance 1 etc.)
Name of the CAB
Name of CAB contact person
Phone number of CAB contact person
Description of other actions
<table>
<thead>
<tr>
<th>Description of reasons for actions</th>
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</thead>
<tbody>
<tr>
<td>Name of client</td>
</tr>
<tr>
<td>Affected sites</td>
</tr>
<tr>
<td>Certificate number</td>
</tr>
<tr>
<td>Address of client</td>
</tr>
<tr>
<td>Additional comments</td>
</tr>
<tr>
<td>Date of action</td>
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<tr>
<td>Date of submission to ASC</td>
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</tbody>
</table>
This Form can only be submitted by CAB's accredited for ASC farm audits and CAB's which are in the accreditation process.  

An audit or certification process can be cancelled at any time, the decision for audit cancellation can either come from a CAB or from a client.  

A client can fail its audit because of many reasons, like not being able to close major NC’s or being unable to comply with the standard.  

In cases where an audit led to one of the following decisions, the CAB shall submit the audit report to ASC, which is then published;  

Cancelled certificate: The certificate holder has decided to leave the program and cancel their certificate  

Suspended certificate: The CAB has temporarily suspended the farm certificate because of compliance or administrative reasons  

Withdrawn certificate: The CAB has ended a certificate indefinitely because of compliance or administrative reasons. To become certified again the farm has to undergo the initial audit process anew  

All fields are mandatory to fill out, incomplete forms are not accepted.  

The original excel file and a PDF of the offline version of Form 5 needs to be submitted to ASC  

All form 5 will be published on ASC’s website.

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Cancellation of an audit

Surveillance audit

DNV Business Assurance Norway AS

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Cancellation of a certificate
All 11 farms have been certified. However, the 8 farms listed in Affected sites column have been removed. Therefore, only 3 farms will be remained on the certificate. They are:

- Mr. Jong-Hun CHO’s Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / Process
- Mr. Yo-Hwan KIM’s Farm (39-1, Yongchul-gil, Saengil-myeon, Wando-gun, Jeollanam-do, Republic of Korea)
- Mr. Su-Han CHOI’s Farm (34°19'13"N 126°57'11"E / 34°18'29"N 126°57'28"E) / Process

Wando Eco-friendly Seafood Cooperative (WESC)

1. Mr. Seong-Uk LEE's Farm (34°19'47"N 126°54'38"E)/ Processing place (8-20, Sinji-ro 1; 신지로1281번길 8-20, 34°19'56.6"N 126°52'51.5"E )
2. Mr. Young-Taek JI's Farm (34°17'04"N 126°52'15"E / 34°17'17"N 126°52'01"E )/ Processing place (신지면 신지로 953, 34°19'10.7"N 126°51'23.4"E)
3. Mr. Yong-Jin CHOI's Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) / Processing place (대한민국 전라남도 완도군 신지면 신지로 1065-3, 34°19'22.6"N 126°51'56.4"E)
4. Mr. Ho-Yeon JEONG's Farm (34°18'15"N 126°53'12"E / 34°18'27"N 126°53'14"E) (140-전라남도 완도군 생일면 생일로 140-47, 34°18'52.0"N 126°59'50.2"E)
5. Mr. Nam-il KIM’s Farm (34°18'14"N 126°58'59"E / 34°18'15"N 126°59'18"E / 34°18'10 34°18'15"N 126°59'18"E / 34°18'10"N 126°58'48"E / 가공장 (대한민국 전라남도 완도군 생일면 생일로 140-47, 34°18'52.0"N 126°59'50.2"E)
6. Mr. Ju-Bin AN’s Farm (34°23'22.2"N 127°03'26.7"E) / Processing place (17-1, Hahwajeong-dong, Sinji-ro 1-gil, Sinji-gu, Wando-eup, Wando-gun, 34°21'17.1"N 127°01'07.6"E)
7. Mr. Do-Hyung CHAE’s Farm (34°24'10.1"N 127°01'16.3"E / 34°23'49.8"N 127°00'11.2" (34°24'10.1"N 127°00'16.3"E / 34°23'49.8"N 127°00'11.2"E / 34°23'17.4"N 127°01'01.6")
8. Mr. Kyung-Yeol PARK’s Farm (34°19'41.3"N 127°01'42.7"E) / Processing place (4-26, Sir 1-26, 34°20'43.9"N 127°02'31.2"E)

As a result of removing farms from the certificate, the certification scope will be reduced.

05.05.2022
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m a client.

ard. In both cases the CAB has to submit Form 5 to ASC for publication. In case of a failed:

tified again the farm has to undergo the initial audit process anew
As a result of removing farms from the certificate, the certification scope will be reduced to Production of Saccharina Japonica (Kelp) from Production of Hizikia Fusiformis (Hiziki) and Saccharina Japonica (Kelp).

Therefore, only 3 farms will be remained on the certificate. They are

Wando Eco-friendly Seafood Cooperative (WESC)
audit the CAB shall submit the
Room 201, Corporate Supporting Building Marine Biotechnology Research Center, Wando-gun, Jeollanam-do, Republic of Korea

Mr. Ho Seong, Mr. Yo 21, Mr. Jin Choi, Mr. Yeol Park, Mr. Farm, Mr. Farm, 39, 34°12′41.8″N 126°59′12.6″E)

and Saccharina Japonica(Kelp).